

CONDITION FOR AN n -PERMUTABLE CATEGORY TO BE MALTSEV

by *Sean TULL*

Résumé. Nous améliorons la description des catégories n -permutables introduites par Carboni, Kelly et Pedicchio [2]. Cela donne une nouvelle caractérisation des catégories régulières de Maltsev parmi celles qui sont des catégories de Goursat ou, plus généralement, des catégories n -permutables.

Abstract. We give a strengthening of the description of an n -permutable category due to Carboni, Kelly and Pedicchio [2]. This provides a new characterisation of the regular Mal'tsev categories from among those which are Goursat categories, or more generally n -permutable.

Keywords. n -permutable category, Mal'tsev category, Goursat category

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Mal'tsev categories form an important and well-known class of categories in the study of universal algebra [3, 10]. In fact, many of their interesting properties extend to the broader class of Goursat categories [4, 6]. While most examples of Goursat categories are in fact Mal'tsev categories, no simple conditions for when this is the case have yet been presented. In [2], Carboni, Kelly and Pedicchio showed that both classes belong to the more general hierarchy of n -permutable categories. In this note, we give a strengthening of their original characterisation of n -permutable categories, leading to a condition for when a Goursat or n -permutable category is a Mal'tsev category. The condition, called *positive regularity*, is mild, satisfied even in logically well-structured categories such as the category **Set** of sets and functions.

1. A Condition for n -permutability

We work in a regular category \mathbf{C} . The internal logic of such categories allows one to reason using elements just as in \mathbf{Set} [1, Metatheorem A.5.7], and we will use this technique throughout. For objects A, B in \mathbf{C} , recall that a *relation* $R: A \rightarrow B$ is a subobject $R \rightrightarrows A \times B$. Any such relation comes with a converse which we denote $R^\circ: B \rightarrow A$. Every pair of relations $R: A \rightarrow B$ and $S: B \rightarrow C$ have a composite $SR: A \rightarrow C$ defined by

$$SR = \{(a, c) \in A \times C \mid \exists b R(a, b) \wedge S(b, c)\}$$

Relations are partially ordered by the usual inclusion of subobjects. We call a relation $E: A \rightarrow A$ *reflexive* when $\text{id}_A \leq E$, *symmetric* when $E = E^\circ$, *transitive* when $EE \leq E$, and an *equivalence relation* when all of these hold. Following [2], for any pair of relations $R: A \rightarrow B$, $S: B \rightarrow C$, we define a sequence of relations

$$(S, R)_1 = S, (S, R)_2 = SR, (S, R)_3 = SRS, (S, R)_4 = SRSR, \dots$$

A regular category \mathbf{C} is then *n -permutable* whenever $(E, E')_n = (E', E)_n$ for every pair of equivalence relations E, E' on the same object. In particular, a regular category is a *Mal'tsev category* when it is 2-permutable, and a *Goursat category* when it is 3-permutable. We begin by strengthening a characterisation from [2].

Theorem 1. *Let \mathbf{C} be a regular category and $n \geq 2$. The following conditions are equivalent:*

- (i) \mathbf{C} is n -permutable;
- (ii) Every relation $R: A \rightarrow B$ in \mathbf{C} satisfies $(R^\circ, R)_{n+1} = (R^\circ, R)_{n-1}$;
- (iii) For every reflexive relation $E: A \rightarrow A$ in \mathbf{C} , $(E, E^\circ)_{n-1}$ is an equivalence relation;
- (iv) For every reflexive relation $E: A \rightarrow A$ in \mathbf{C} , E^{n-1} is an equivalence relation;
- (v) For every reflexive and symmetric relation $E: A \rightarrow A$ in \mathbf{C} , E^{n-1} is an equivalence relation.

Proof. See [2] for the equivalence of (i), (ii) and (iii). (i) \implies (iv) is also well-known: in an n -permutable category any reflexive relation E has that E^{n-1} is transitive [7, Theorem 1], and every reflexive and transitive relation is symmetric [9, Theorem 1]. Clearly (v) follows from (iii) or (iv).

We now show that (v) \implies (ii). First note that by our assumption any reflexive and symmetric relation E satisfies $E^n = E^{n-1}$. Indeed, always $E^{n-1} \leq E^n$, while we now have $E^n \leq E^{2(n-1)} \leq E^{n-1}$ by transitivity. Now for any relation $R \multimap A \times B$, we always have $(R^\circ, R)_{n-1} \leq (R^\circ, R)_{n+1}$, so it suffices to show the converse holds. Define a new relation $S \multimap R \times R$ by

$$S((a, b), (a', b')) \iff R(a, b') \wedge R(a', b)$$

noting by definition that $S((a, b), (a', b'))$ also implies $R(a, b)$ and $R(a', b')$. Then S is reflexive since it is defined on R , and symmetric by definition. Hence $S^n = S^{n-1}$.

Suppose that $(R^\circ, R)_{n+1}(b, a)$, via a sequence of elements $(x_i)_{i=0}^{n+1}$ with $x_0 = a$, $x_{n+1} = b$ satisfying $R(x_i, x_{i-1})$ for even $i \geq 2$, and $R(x_i, x_{i+1})$ for even $i \leq n$. Then we have $S((x_i, x_{i+1}), (x_{i+2}, x_{i+1}))$ for all even $i \leq n-1$ and $S((x_{i+2}, x_{i+1}), (x_{i+2}, x_{i+3}))$, for all even $i \leq n-2$. So defining $(y, z) := (b, x_n)$ if n is odd, or $(y, z) := (x_n, b)$ if n is even, we have $S^n((a, x_1), (y, z))$. Hence $S^{n-1}((a, x_1), (y, z))$ also.

Letting $(y_0, z_0) = (a, x_1)$ and $(y_{n-1}, z_{n-1}) = (y, z)$, this means there is a sequence of pairs $(y_i, z_i)_{i=0}^{n-1}$ satisfying $S((y_i, z_i), (y_{i+1}, z_{i+1}))$ for all $i \leq n-2$. In particular, we have $R(y_i, z_{i-1})$ for even $i \geq 2$ and $R(y_i, z_{i+1})$ for even $i \leq n-2$. Hence via the sequence $a = y_0, z_1, y_2, z_3, \dots$ of length n ending in b , we have $(R^\circ, R)_{n-1}(b, a)$, as desired. \square

2. Positively regular and Mal'tsev categories

We now turn to classifying the Mal'tsev categories as the n -permutable categories with a special property. Let us call a relation $E: A \rightarrow A$ *positive* when it is of the form $E = R^\circ R$ for some relation $R: A \rightarrow B$. The following notion first appeared in [5].

Proposition 2. *For a regular category \mathbf{C} , the following are equivalent:*

- (i) *A relation $E: A \rightarrow A$ in \mathbf{C} is positive if and only if it satisfies:*

$$E(a, b) \implies E(a, a) \wedge E(b, a) \quad (*)$$

(ii) *Any reflexive and symmetric relation in \mathbf{C} is positive.*

We call a regular category satisfying either of these equivalent conditions positively regular¹.

Proof. For (i) \implies (ii), and the ‘only if’ in (ii) \implies (i), note that any reflexive, symmetric relation in a regular category automatically satisfies (*), as does any positive relation. Conversely, if (ii) holds and $E: A \rightarrow A$ satisfies (*), define

$$I = \{a \in A \mid \exists b E(a, b)\} \twoheadrightarrow A$$

writing $i: I \rightarrow A$ for the inclusion. Then it’s easy to see that $E = Eii^\circ = ii^\circ E$. Further, $i^\circ Ei$ is a reflexive, symmetric relation on I , and hence is positive, say equal to $R^\circ R$. Then we have $E = ii^\circ Eii^\circ = iR^\circ Ri^\circ = (Ri^\circ)^\circ (Ri^\circ)$ and so E is positive. \square

Example 3. Set is positively regular. More generally so is any regular coherent category, coming with unions of subobjects. To see this, for any relation $E \twoheadrightarrow A \times A$ satisfying (*), define

$$R = \{(a, (a, b)) \mid E(a, b)\} \vee \{(a, (b, a)) \mid E(b, a)\} \twoheadrightarrow A \times E$$

Then $E = R^\circ R$, making E positive.

Theorem 4. *For a regular category \mathbf{C} , the following are equivalent:*

- (i) \mathbf{C} is a Mal’tsev category;
- (ii) Every reflexive relation in \mathbf{C} is an equivalence relation;
- (iii) Every reflexive and symmetric relation in \mathbf{C} is an equivalence relation;
- (iv) \mathbf{C} is a Goursat category and every reflexive relation in \mathbf{C} is positive;
- (v) \mathbf{C} is a Goursat category and positively regular;
- (vi) \mathbf{C} is n -permutable, for some $n \geq 2$, and positively regular.

¹Not to be confused with the notion of a positive coherent category [8].

Proof. The equivalence of (i), (ii) and (iii) is in Theorem 1, and clearly we have (iv) \implies (v) \implies (vi). For (iii) \implies (iv), any reflexive relation E in \mathbf{C} is an equivalence relation, and therefore positive since $E = E^\circ E$. Hence by Proposition 2, \mathbf{C} is positively regular. Further, since \mathbf{C} is a Mal'tsev category, it is a Goursat category.

It remains to show that (vi) \implies (i). Let \mathbf{C} be positively regular. First suppose \mathbf{C} is $(2m + 1)$ -permutable, for some $m \geq 1$. Let $E: A \rightarrow A$ be a reflexive and symmetric relation. By positive regularity, $E = R^\circ R$ for some relation $R: A \rightarrow B$, and so:

$$E^{2m} = (R^\circ, R)_{4m} = (R^\circ, R)_{(2m+2)+2(m-1)} = (R^\circ, R)_{2m} = E^m$$

where we repeatedly applied $(R^\circ, R)_{2m+2} = (R^\circ, R)_{2m}$ from Theorem 1, condition (ii). Hence E^m is an equivalence relation. By condition (v) of Theorem 1, \mathbf{C} is then in fact $(m + 1)$ -permutable.

Now if \mathbf{C} is n -permutable, there is some k with $n \leq 2^k + 1$ so that \mathbf{C} is $(2^k + 1)$ -permutable. Then the above argument shows that \mathbf{C} is in fact $(2^{k-1} + 1)$ -permutable, and hence inductively that \mathbf{C} is 2-permutable, *i.e.* a Mal'tsev category. \square

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Sean Tull

University of Oxford, Department of Computer Science

Wolfson Building, Parks Road, Oxford OX1 3QD (United Kingdom)

sean.tull@cs.ox.ac.uk