## **AUTOCATEGORIES: II. AUTOGRAPHIC ALGEBRAS**

by René GUITART

**Abstract.** An *autograph* is a set A with an action of the free monoid with 2 generators; it could be drawn as arrows between arrows. In [5] we have shown that knot diagrams as well as 2-graphs are examples. Of course the category of autographs is a topos, and an *autographic algebra* will be the algebra of a monad on this topos. In this paper we compare autographic algebras with *graphic algebras* of Burroni, via *graphic monoids* of Lawvere. For that we use monadicity criterions of Lair and of Coppey. The point is that when it is possible to replace graphic algebras by autographic algebras, we change a situation with 2 types of arities into a situation with only 1 type, the type "object" being avoided. So graphs, basic graphic algebras, autographs in a category of algebras of a Lawvere theory, elements of any 2-generated graphic topos, categories, autocategories, associative autographs, are autographic algebras.

Résumé. Un autographe est un ensemble A équipé d'une action du monoïde libre à deux générateurs, et peut être représenté en dessinant des flèches entre des flèches. Dans [5] nous avons obtenu comme exemples les diagrammes de nœuds et les 2-graphes. Evidemment la catégorie de ces autographes est un topos, et une algèbre autographique sera une algèbre d'une monade sur ce topos. Ici nous comparons ces algèbres avec les algèbres graphiques de Burroni, via les monoïdes graphiques de Lawvere, en utilisant les critères de monadicité de Lair et de Coppey. Le point est que lorsque l'on remplace une situation graphique par une situation autographique, on transforme une situation à 2 types d'arités en une situation à 1 type, le type "objet" étant montré évitable. Ainsi les graphes, les algèbres graphiques basiques, les autographes dans une catégorie d'algèbres de Lawvere, les éléments de topos graphiques 2-engendrés, les catégories, les autocatégories, et les autographes associatifs sont des algèbres autographiques.

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# 1. From graphs to autographs

**Definition 1.1.** (see [5, def. 1.1., p.66]) We denote by  $\mathbb{FM}(2) = \{d, c\}^*$  the free monoid on two generators d and c. As a category with one object v, this monoid  $\mathbb{FM}(2)$  is the category of paths in the graph



Especially the identity is the empty path "()", also denoted by  $1_v$ . An autograph  $(A, (d_A, c_A))$  is a set A of arrows, equipped with two maps domain  $d_A : A \to A$  and codomain  $c_A : A \to A$ ; that is to say an action of  $\mathbb{FM}(2)$  on A; if necessary this action is again denoted by A, with

$$A(v) = A, A(d) = d_A, A(c) = c_A.$$

We represent  $a \in A$  with  $d_A a = v$  and  $c_A a = w$ , by:  $a : v \to w$ , or  $v \xrightarrow{a} w$ , or by:

The category of autographs is Agraph  $= \operatorname{Set}^{\mathbb{FM}(2)}$ ; in this category a morphism is a map  $f: A \to A'$  satisfying d'fa = fda, c'fa = fca. We have a forgetful functor:

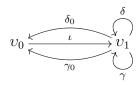
$$U : Agraph \rightarrow Set : (A, (d_A, c_A)) \mapsto A.$$

**Definition 1.2.** We denote by  $\mathbb{G}(2)$  the category with two objects  $v_0$  and  $v_1$ , and five non-identity arrows

$$\gamma_0, \delta_0: \upsilon_1 \to \upsilon_0, \ \iota: \upsilon_0 \to \upsilon_1, \ \delta, \gamma: \upsilon_1 \to \upsilon_1,$$

with identities on  $v_1$  and  $v_0$ , and with equations :

$$\delta_0 . \iota = 1_{v_0}, \ \gamma_0 . \iota = 1_{v_0}, \ \gamma = \iota . \gamma_0, \ \delta = \iota . \delta_0.$$



Presheaves G on  $\mathbb{G}(2)$ , i.e. objects of Graph  $= \operatorname{Set}^{\mathbb{G}(2)}$  are named graphs. Any  $V \in G(v_0)$  is named a vertex, and if  $f \in G(v_1)$ , f is named an arrow; then the fact that  $G(\delta_0)(f) = V$  and  $G(\gamma_0)(f) = V'$  is represented by:  $f: V \to V'$ .

**Remark 1.3.** When we work "over graphs" we have to consider 2 types of arities (vertices and arrows), wheras working "over autographs" introduces only 1 type (arrows). So our question here is to understand precisely when the reduction of a 2 types situation to a 1 type situation is possible.

**Proposition 1.4.** The comparison between graphs and autographs is induced by pre-composition with the functor

$$\mathbb{FM}(2) \xrightarrow{\phi} \mathbb{G}(2)$$

given by

$$\phi(v) = v_1, \ \phi(d) = \delta, \ \phi(c) = \gamma.$$

Up to an isomorphism, any graph  $G: \mathbb{G}(2) \to \operatorname{Set}$  is determined by its associated autograph  $G\phi: \mathbb{FM}(2) \to \operatorname{Set}$ .

*Proof.* In a graph G for each vertex  $V \in G(v_0)$ , the arrow  $G(\iota)(V) \in G(v_1)$  is exactly a fixed point of  $\delta$ , i.e. an  $x \in G(v_1)$  such that  $\delta(x) = x$ , as well as exactly a fixed point of  $\gamma$ . So in fact we recover the set of vertices of G as the splitting of the idempotent  $\delta$ , or also the splitting of  $\gamma$  (these two splittings are isomorphic).

**Proposition 1.5.** The comparison  $\phi$  between graphs and autographs in Proposition 1.4 admits a factorisation through the image  $\mathbb{M}(2)$  of  $\phi$ , in such a way that  $\mathbb{G}(2)$  is the strict karoubian envelope of  $\mathbb{M}(2)$ .

$$\mathbb{G}(2) = \operatorname{Kar}_0(\mathbb{M}(2)) \xleftarrow{j} \mathbb{M}(2) = \operatorname{Im}\phi \xleftarrow{\bar{\phi}} \mathbb{FM}(2).$$

This monoïd M(2) is introduced by Lawvere as a graphic monoïd.

*Proof.* In  $\mathbb{G}(2)$  we get  $\delta^2=\delta, \gamma^2=\gamma, \gamma\delta=\delta, \delta\gamma=\gamma$ , and the full subcategory  $\operatorname{End}_{\mathbb{G}}(\upsilon_1)$  of  $\mathbb{G}(2)$  generated by  $\upsilon_1$  has one unit and two idempotents,  $\gamma$  and  $\delta$ , which are splitted in  $\mathbb{G}(2)$  as  $\upsilon_0$ . If we denote by  $\mathbb{M}(2)=\{1,c,d\}$  the monoïd with  $c^2=c,d^2=d,cd=d,dc=c$ , this monoïd is included in  $\mathbb{G}(2)$ 

as  $\operatorname{End}_{\mathbb{G}}(v_1)$  via  $j:1\mapsto 1_{v_1},c\mapsto \gamma,d\mapsto \delta$  and is a quotient of  $\mathbb{FM}(2)$ , the free monoïd on two generators c and d, via  $\bar{\phi}$ , with  $\bar{\phi}(1_v)=1,\ \bar{\phi}(c)=c,\ \bar{\phi}(d)=d$ . We have  $j.\bar{\phi}=\phi$ .

Our  $\mathbb{M}(2)$  is denoted  $\Delta_1$  and  $\mathbb{M}(2)$  by Lawvere (see [9] and [10]), and a diagram of shape  $\Delta_1$  is named a *cylinder*. Lawvere observed that we recover  $\mathbb{G}(2) = \mathrm{Kar}_0(\Delta_1)$  from the Cauchy completion  $\mathrm{Kar}(\Delta_1) = \bar{\Delta}_1$  of the monoïd  $\Delta_1 = \mathbb{M}(2)$  (the category obtained by splitting idempotents in  $\Delta_1$ , also named "karoubian envelope" of  $\Delta_1$ ). As  $\delta\gamma = \gamma$  and  $\gamma\delta = \delta$ ,  $\delta: (\upsilon_1, \delta) \to (\upsilon_1, \gamma)$  and  $\gamma: (\upsilon_1, \gamma) \to (\upsilon_1, \delta)$  are morphisms between idempotents, and furthermore, for the same reason, they are inverse one of the other. We obtain  $\mathrm{Kar}_0(\Delta_1)$  from  $\mathrm{Kar}(\Delta_1)$  by reduction of these inverse isomorphisms to identities on one object  $\upsilon_0$ .

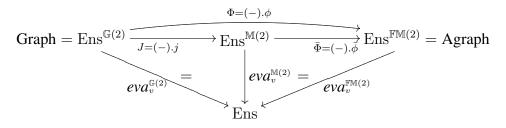
# 2. Autographic algebras

Burroni [3] defines a *graphic algebra* as an algebra of a monad on Graph. Similarly we define:

**Definition 2.1.** An autographic algebra is an algebra of a monad on Agraph.

## 2.1 Graphs are autographic algebras

**Proposition 2.2.** *In the following diagram, all the functors are monadic:* 



Especially, graphs are autographic algebras.

*Proof.* It is easy to show that J is an equivalence of categories [1, ex. 3.4, p.107]. This comes from the fact that splitting idempotents is an absolute limit construction. Then we use the known fact that for any monoïd M the forgetful functor  $\operatorname{Ens}^M \to \operatorname{Ens}$  is monadic [1, ex. 3.5, p.109], and we

get that the three evaluations are monadic. These facts could be proved by the Linton characterization of monadicity over Ens, and the property for  $\Phi$ by its extension by Borceux and Day [2] for monadicity over a category of presheaves.

In the next sections we will need two criterions of monadicity which could have been used here.

**Proposition 2.3.** The proposition 2.2 could also be proved using Coppey or Lair criterions of monadicity.

Proof. 1 — According to the Coppey's criterion [4, Prop. 2, p.17], the monadicity of a functor  $\operatorname{Ens}^{\mathbb{D}} \xrightarrow{\operatorname{Ens}^K} \operatorname{Ens}^{\mathbb{C}}$  for  $K : \mathbb{C} \to \mathbb{D}$  a functor bijective on objects, is equivalent to the existence of a left adjoint.

This works especially for any morphism of monoïds  $f: M' \longrightarrow M$ , and so here for  $\bar{\phi}: \mathbb{FM}(2) \longrightarrow \mathbb{M}(2)$ .

2 — The Lair's criterion [7, thm.2, p.278] [8, Corollaire, p.8]. says that the VTT condition of Beck [11, Th. 1, p147, ex. 6, p. 151] for tripleability is satisfied for a projectively sketched functor  $U = \operatorname{Ens}^K : \operatorname{Ens}^{S'} \to \operatorname{Ens}^S$ , sketched by a morphism of projective sketches  $K: S \to S'$  if K is basic (or of 'Kleisli'), i.e. if any distinguished cone in S' is based in S, and any new object in S' is the top of a distinguished cone in S'.

Here this criterion can be applied to  $\phi: \mathbb{FM}(2) \to \mathbb{M}(2)$  considered as a morphism of projective sketches, with no cones, and with no new object in  $\mathbb{M}(2)$ , or it could be applied to  $\phi : \mathbb{FM}(2) \to \mathbb{G}(2)$ , with, as a distinguished cone, the one specifying the new object  $v_0$  as a kernel, based in  $\mathbb{M}(2)$ .

2.2 Basic graphic algebras are autographic algebras

**Proposition 2.4.** If  $W: \mathcal{X} \to \text{Graph is algebraic, i.e. if, via } W, \mathcal{X} \text{ is a}$ category of graphic algebras in the sense of Burroni [3], and if, more strictly, W is sketched by a basic morphism of small projective sketches (in the sense of Lair)  $K: \mathbb{G}(2) \to S'$ , with  $\mathcal{X} = \operatorname{Set}^{S'}$  and  $W = \operatorname{Set}^{K}$ , then the functor  $\operatorname{Set}^{K\phi}: \mathcal{X} \to \operatorname{Agraph}$  is algebraic, i.e., via  $\operatorname{Set}^{K\phi}$ ,  $\mathcal{X}$  is a category of autographic algebras. For example this works for  $\mathcal{X} = \text{Cat: } categories \ are$ autographic algebras.

*Proof.* As j induces an equivalence, the question is reduced to the transfer of monadicity from  $\operatorname{Set}^{\mathbb{M}(2)}$  to  $\operatorname{Set}^{\mathbb{FM}(2)}$ , via  $\operatorname{Set}^{\bar{\phi}}$ . At first let us recall that this functor is monadic, i.e. that the proposition is valid if  $W = \operatorname{Id}_{\operatorname{Graph}}$ . But as  $K : \mathbb{G}(2) \to S'$  is basic (see definition in proposition 2.3), also  $Kj : \mathbb{M}(2) \to \mathbb{S}'$  is basic, and then  $Kj\bar{\phi} = K\phi : \mathbb{M}(2) \to S'$  is basic. So  $\mathcal{X}$  is a category of (basic) autographic algebras.

## 2.3 Autographs in Lawvere algebras are autographic algebras

**Proposition 2.5.** Let T be the sketch of a Lawvere theory. Then the category  $Agraph(Set^T)$  of autographs in  $Set^T$  is monadic over Agraph.

*Proof.* Let u be the object in T such that each object is specified as a  $u^n$ , and let  $K: \mathbb{FM}(2) \to \mathbb{FM}(2) \times T: m \mapsto (m,u)$ . This functor is basic, and so the functor  $\operatorname{Set}^K: \operatorname{Set}^{\mathbb{FM}(2) \times T} \to \operatorname{Set}^{\mathbb{FM}(2)} = \operatorname{Agraph}$  is monadic. And  $\operatorname{Agraph}(\operatorname{Set}^T) = \left(\operatorname{Set}^T\right)^{\mathbb{FM}(2)} = \operatorname{Set}^{T \times \mathbb{FM}(2)}$ .

## **2.4 Quotients of** $\mathbb{FM}(2)$ and reflexive subcategories of Agraph

**Proposition 2.6.** Any presentation of a 2-generated monoïd M, i.e. any quotient map of monoïds  $q_M : \mathbb{FM}(2) \to M$  determines by composition on the right a functor  $\operatorname{Set}^{q_M} : \operatorname{Set}^M \to \operatorname{Set}^{\mathbb{FM}(2)}$  with left and right adjoints  $\operatorname{Lan}_{q_M} \dashv (-)^{q_M} \dashv \operatorname{Ran}_{q_M}$ , and the adjunction  $\operatorname{Lan}_{q_M} \dashv (-)^{q_M}$  determines the topos  $\operatorname{Set}^M$  as a reflexive subcategory of Agraph which is a category of autographic algebras. Especially this works for M the monoïds  $\mathbb{FIM}(2), \mathbb{FGM}(2), \mathbb{FSM}(2) = \mathbb{M}(2)$  given in Prop. 2.8.

*Proof.* As in Prop. 2.3 it is a consequence of [4, Prop. 2, p.17]. Let us precise that the corresponding idempotent monad  $T_M = (-)^{q_M} \operatorname{Lan}_{q_M}$  is clear; for (A,d,c) in  $\operatorname{Set}^{\mathbb{FM}(2)}$  we have  $T_M(A,d,c) = A/[q_M]$ , with  $[q_M]$  the smallest congruence on (A,d,c) such that

$$\forall m, m' \in \mathbb{FM}(2) \ \forall u \in A \ \big(q_M(m) = q_M(m') \Rightarrow mu = m'u \mod [q_M]\big);$$
 so, for any  $x, y \in A$ , we have  $x = y \mod [q_M]$  if and only if

$$\exists k \ge 1, \forall j \le k, \exists m_j, m'_j \in \mathbb{FM}(2), q(m_j) = q(m'_j), \exists u_j \in E, x = m_1 u_1, \ m'_1 u_1 = m_2 u_2, \dots m'_{k-1} u_{k-1} = m_k u_k, \ m'_k u_k = y.$$

An example of Prop. 2.6 is:

**Proposition 2.7.** With  $\mathbb{FM}(1) = \mathbb{N}$  (equipped with +) the free monoid on one generator,  $\operatorname{Set}^{\mathbb{FM}(2)}$  is the pullback of  $\operatorname{Set}^{\mathbb{FM}(1)} \to \operatorname{Set}$  with itself. The topos  $\operatorname{Set}^{\mathbb{FM}(1)}$  of "primary structures" is an algebraic category over the topos  $\operatorname{Set}^{\mathbb{FM}(2)}$  of autographs: primary structures are autographic algebras.

*Proof.* The objects of  $Set^{\mathbb{FM}(1)}$  are named *primary structures* by M. Lazard. Here we have a quotient map of monoïds

$$q_1: \mathbb{FM}(2) \to \mathbb{FM}(2)/(c=d) = \mathbb{FM}(1),$$

and so (Prop. 2.6)  $\operatorname{Set}^{q_1} : \operatorname{Set}^{\mathbb{FM}(1)} \to \operatorname{Set}^{\mathbb{FM}(2)}$  is monadic.  $\square$ 

## 2.5 2-generated graphic topos

One example of Prop.2.6 is associated to the free 2-generated graphic monoïd.

**Proposition 2.8.** 1 — The monoïd  $\mathbb{M}(2)$  is the free right singular monoïd (in which xy = y) on 2 generators c and d, and so is denoted by  $\mathbb{FSM}(2)$ .

- 2 The monoid M(2) is a right graphic monoid (in which xyx = yx), but it is not a free one.
- 3 The free right graphic monoid on 2 generators c and d is denoted by  $\mathbb{FGM}(2)$ . It has 5 elements.
- $4 \mathbb{G}(2) = \operatorname{Kar}_0(\mathbb{M}(2))$  is a quotient of  $\overline{\mathbb{G}}(2) = \operatorname{Kar}_0(\mathbb{FGM}(2))$ .
- 5 The monoid  $\mathbb{FGM}(2)$  is an idempotent monoid (in which  $x^2 = x$ ), but it is not a free one.
- 6 The free idempotent monoïd on 2 generators c and d is denoted by  $\mathbb{FIM}(2)$ . It has 7 elements. A quotient of  $\mathbb{FIM}(2)$  is  $\mathbb{FGM}(2)$ .

*Proof.*  $\mathbb{FGM}(2) = \{1, c, d, cd, dc\}$  is obtained by adjunction of a unit to the free right regular semigroup on two generators c and d. Then  $\mathbb{M}(2)$  is a quotient of  $\mathbb{FGM}(2)$ , with cdc = dc and dcd = cd. The notion of a graphic monoid M is used by Lawvere to introduce graphic toposes  $\mathrm{Ens}^{M^{\mathrm{op}}}$ . A band is a semigroup where every element is idempotent, a left regular band is a band with xyx = xy, and so a graphic monoid according to Lawvere is exactly a left regular band with unit. Then an explicit construction of the free left regular band on n generators is given in [6], which for n = 2 gives

a semigroup with 4 elements, and with one more element as unit we get  $\mathbb{FGM}(2)$ .

We have  $\mathbb{FIM}(2) = \{1, c, d, cd, dc, cdc, dcd\}$ . The description of  $\mathbb{FIM}(2)$  is given in [12].

**Proposition 2.9.** Any graphic topos  $\operatorname{Set}^{\operatorname{Kar}_0(M)} \simeq \operatorname{Set}^M$  with M a 2-generated graphic monoid is a category of autographic algebras.

*Proof.* Any such M is a quotient of  $\mathbb{FGM}(2)$ , and so of  $\mathbb{FM}(2)$ . Of course as  $\mathbb{FIM}(2)$  is finite, there is only a finite number of such M.

# 3. Autocategories, associative autographs, flexicategories

The following definitions precise the situation of autocategories between associative autographs and flexicategories. We see that associative autographs and autocategories are examples of autographic algebras.

**Definition 3.1.** An associative autograph A is an autograph (A, d, c) equipped with composition  $gf: p \to r$  for any  $f: p \to q$ ,  $g: q \to r$ , such that the two compositions of three consecutive arrows are equal:

Associativity: 
$$h(gf) = (hg)f$$
, if  $f: p \to q$ ,  $g: q \to r$ ,  $h: r \to s$ .

An associative autograph A is unitary if the underlying autograph (A, d, c) is with identifiers — i.e. with specified arrows  $i_{df}: df \rightarrow df$ ,  $i_{cf}: cf \rightarrow cf$  for every  $f \in A$  — and if these identifiers are identities i.e. units for composition:

Unitarity: 
$$fi_{df} = f = i_{cf}f$$
.

An unitary associative autograph is shortly named an autocategory ([5, p.76]).

The category of associative autographs is denoted by AAgraph (with morphisms the maps  $F:A\to A'$  with  $d'Fa=Fda,\ c'Fa=Fca,\ F(ba)=F(b)F(a)$  if db=ca).

The forgetful functor  $W: AAgraph \to Agraph$  is given by  $W(\mathcal{A}) = (A, d, c)$ . The category of autocategories is denoted by Acat: it is the full subcategory of AAgraph with objects the autocategories. We have a forgetful functor  $W' = WI: Acat \to Agraph$ , with  $I: Acat \to AAgraph$  the inclusion functor.

**Remark 3.2.** In the definition of an autocategory, identities are unique, and so "unitary" is a property of an associative autograph, and not a supplementary data on it. So autocategories are to associative autographs as monoïds are to semigroups.

**Definition 3.3.** A flexicategory is a category C equipped with a flex i.e. a map  $\varphi : \text{Obj}(C) \to \text{Arrow}(C)$ . The category of flexicategories is Fcat, with morphisms functors  $F : C \to C'$  such that  $\varphi'(F(X)) = F(\varphi(X))$ .

We have a forgetful functor W'': Fcat  $\to$  Cat given by  $W''((\mathcal{C}, \varphi)) = \mathcal{C}$ .

**Proposition 3.4.** An autocategory  $\mathcal{A}$  "is" a mono-flexicategory, i.e. a category  $U\mathcal{A} = \mathcal{C}$  equipped with a flex  $\varphi : \mathrm{Obj}(\mathcal{C}) \to \mathrm{Arrow}(\mathcal{C})$ , with the condition that  $\varphi$  is injective. So is defined an inclusion  $J : \mathrm{Acat} \to \mathrm{Fcat}$ , and we have the forgetful functor  $W''' = W''J : \mathrm{Acat} \to \mathrm{Cat}$ .

*Proof.* In [5, Prop.6.2., p.76] we saw that any mono-flexicategory determines an autocategory. But conversely, given an autocategory  $\mathcal{A}$  we can introduce an underlying category  $\mathcal{C}$  with objects the  $O_u$ , with u any identifier  $u=i_{df}$  or  $u=i_{cf}$  in  $\mathcal{A}$ , and then sources and targets are given by  $s(f)=O_{df}$ ,  $t(f)=O_{cf}$ , and the identities on objects are  $\mathrm{Id}_{O_u}=u$ .

**Proposition 3.5.** 1 — The category of autocategories is a category of autographic algebras, the associated monad is the construction of "paths with identity" given in [5, Prop. 6.3, p. 77]

$$\mathbf{P}^{\iota}(A,(d,c)) = \big(\mathbf{Path}^{\iota}(A,(d,c)),D,C\big).$$

2 — The category AAgraph of associative autographs is a category of autographic algebras, the associated monad is the construction of "paths without adding identities" given by

$$\mathbf{P}(A,(d,c)) = \big( \mathbf{Path}(A,(d,c)), D, C \big),$$

analogous to  $\mathbf{P}^{\iota}(A,(d,c))$  excepted that we do not add identities.

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