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WHEN A MATRIX CONDITION IMPLIES THE MAL'TSEV PROPERTY

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Résumé. Les conditions matricielles étendent les conditions de Mal'tsev linéaires de l'algèbre universelle aux propriétés d'exactitude en théorie des catégories. Certaines peuvent être énoncées dans le contexte finiment complet alors que, en général, elles peuvent être énoncées seulement pour les catégories régulières. Nous étudions quand une telle condition matricielle implique la propriété de Mal'tsev. Nos résultats principaux affirment que, pour les deux types de matrices, cette implication est équivalente à l'implication correspondante restreinte au contexte des variétés d'algèbres universelles.

Abstract. Matrix conditions extend linear Mal'tsev conditions from Universal Algebra to exactness properties in Category Theory. Some can be stated in the finitely complete context while, in general, they can only be stated for regular categories. We study when such a matrix condition implies the Mal'tsev property. Our main results assert that, for both types of matrices, this implication is equivalent to the corresponding implication restricted to the context of varieties of universal algebras.

Keywords. Mal'tsev category, Mal'tsev condition, matrix property, cube term, finitely complete category, regular category, essentially algebraic category.

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Introduction

Given a simple extended matrix of variables

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}$$

where the x_{ij} 's and the y_i 's are (not necessarily distinct) variables from $\{x_1, \ldots, x_k\}$, one can associate the following linear Mal'tsev condition (in the sense of [34]) on a variety of universal algebras \mathbb{V} : the algebraic theory of \mathbb{V} admits an *m*-ary term *p* such that, for each $i \in \{1, \ldots, n\}$, the equation

$$p(x_{i1},\ldots,x_{im})=y_i$$

holds in \mathbb{V} . As shown in [25], this Mal'tsev condition is equivalent to the condition that, for each homomorphic *n*-ary relation $R \subseteq A^n$ on an algebra A of \mathbb{V} , given any function $f: \{x_1, \ldots, x_k\} \to A$ interpreting the variables in A, the implication

$$\left\{ \begin{bmatrix} f(x_{11}) \\ \vdots \\ f(x_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} f(x_{1m}) \\ \vdots \\ f(x_{nm}) \end{bmatrix} \right\} \subseteq R \Longrightarrow \begin{bmatrix} f(y_1) \\ \vdots \\ f(y_n) \end{bmatrix} \in R$$

holds. While the above linear Mal'tsev condition does not make sense in an arbitrary category, the above condition on relations can be stated in any finitely complete category \mathbb{C} using internal relations and generalized elements. If this condition is satisfied, we say that \mathbb{C} has *M*-closed relations.

One of the most famous examples of such a condition is given by the matrix

$$\mathsf{Mal} = \left[\begin{array}{ccc} x_1 & x_2 & x_2 \\ x_1 & x_1 & x_2 \end{array} \middle| \begin{array}{c} x_1 \\ x_2 \end{array} \right].$$

A finitely complete category has Mal-closed relations if and only if it is a Mal'tsev category [10], i.e., if and only if every binary internal relation is difunctional in the sense of [33], which occurs if and only if every binary reflexive internal relation is an equivalence relation. A variety \mathbb{V} has Mal-closed relations if and only if its theory admits a ternary operation p satisfying the axioms $p(x_1, x_2, x_2) = x_1$ and $p(x_1, x_1, x_2) = x_2$. Such varieties are

characterized by the fact that the composition of congruences on any algebra in \mathbb{V} is commutative [28] and are also called 2-permutable varieties.

Another example of matrix condition is given by

$$\mathsf{Ari} = \left[\begin{array}{cccc} x_1 & x_2 & x_2 & x_1 \\ x_1 & x_1 & x_2 & x_2 \\ x_1 & x_2 & x_1 & x_1 \end{array} \right]$$

where the notion of a finitely complete category with Ari-closed relations extends to the finitely complete context the notion of an arithmetical category in the sense of [30]. One can also mention

$$\mathsf{Maj} = \left[\begin{array}{cccc} x_1 & x_1 & x_2 & x_1 \\ x_1 & x_2 & x_1 & x_1 \\ x_2 & x_1 & x_1 & x_1 \end{array} \right]$$

for which a finitely complete category has Maj-closed relations if and only if it is a majority category in the sense of [13].

The paper [16] describes an algorithm to decide whether one matrix condition implies another one in the finitely complete context, i.e., given two simple extended matrices M_1 and M_2 , whether each finitely complete category with M_1 -closed relations has M_2 -closed relations, which we denote by $M_1 \Rightarrow_{\mathsf{lex}} M_2$. We have also shown that this algorithm cannot be used in the varietal context. That is, the statement $M_1 \Rightarrow_{\mathsf{lex}} M_2$ is in general stronger than the statement that any variety with M_1 -closed relations has M_2 -closed relations, which we abbreviate as $M_1 \Rightarrow_{\mathsf{alg}} M_2$. Moreover, a general algorithm to decide $M_1 \Rightarrow_{alg} M_2$ still does not exist. However, the results of [29] can be used to extract an algorithm for some matrices M_2 , including the Mal'tsev matrix Mal. Surprisingly, in the case $M_2 = Mal$, this algorithm reduces to the algorithm from [16] for $M_1 \Rightarrow_{\mathsf{lex}} \mathsf{Mal}$. This thus means that $M_1 \Rightarrow_{\mathsf{lex}} \mathsf{Mal}$ is equivalent to $M_1 \Rightarrow_{\mathsf{alg}} \mathsf{Mal}$, which is quite particular to the Mal'tsev matrix Mal. In that case, the algorithm to decide whether $M_1 \Rightarrow_{\text{lex}} Mal$ reduces to find two (not necessarily distinct) rows of M_1 such that, when reducing M_1 to those two rows, its right column cannot be found among its left columns. The number of operations required by this algorithm is bounded by a polynomial in the numbers of rows and of columns of the matrix M_1 . In addition, using this algorithm and the results of [16], we can show that, given a finite number of simple extended matrices

 M_1, \ldots, M_d , if each finitely complete category with M_i -closed relations for all $i \in \{1, \ldots, d\}$ is a Mal'tsev category, then there exists $i \in \{1, \ldots, d\}$ such that $M_i \Rightarrow_{\mathsf{lex}} \mathsf{Mal}$.

The linear Mal'tsev conditions arising from simple extended matrices only have equations of the form

$$p(x_1,\ldots,x_m)=y$$

but not of the form

$$p(x_1,\ldots,x_m)=p'(x'_1,\ldots,x'_{m'})$$

for (not necessarily distinct) variables $x_1, \ldots, x_m, x'_1, \ldots, x'_{m'}$ and y. In order to take this second kind of equation into account, one needs to consider (not necessarily simple) *extended matrices of variables*

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_{11} & \cdots & y_{1m'} \\ \vdots & & \vdots & & \vdots \\ x_{n1} & \cdots & x_{nm} & y_{n1} & \cdots & y_{nm'} \end{bmatrix}$$

as introduced in [27], where the x_{ij} 's are variables from $\{x_1, \ldots, x_\ell\}$ and the y_{ij} 's are variables from $\{x_1, \ldots, x_\ell, \ldots, x_k\}$ (where $k \ge \ell$). The linear Mal'tsev condition on a variety \mathbb{V} associated to such an M is: the algebraic theory of \mathbb{V} contains m-ary terms $p_1, \ldots, p_{m'}$ and ℓ -ary terms $q_1, \ldots, q_{k-\ell}$ such that, for each $i \in \{1, \ldots, n\}$ and each $j \in \{1, \ldots, m'\}$,

$$p_j(x_{i1}, \dots, x_{im}) = \begin{cases} x_a & \text{if } y_{ij} = x_a \in \{x_1, \dots, x_\ell\} \\ q_{a-\ell}(x_1, \dots, x_\ell) & \text{if } y_{ij} = x_a \in \{x_{\ell+1}, \dots, x_k\} \end{cases}$$

is an equation in the variables x_1, \ldots, x_ℓ that holds in the algebraic theory of \mathbb{V} . As shown in [27], this is equivalent to the condition that, for any homomorphic *n*-ary relation $R \subseteq A^n$ on an algebra A of \mathbb{V} , given any function $f: \{x_1, \ldots, x_\ell\} \to A$, the implication

$$\begin{cases} \begin{bmatrix} f(x_{11}) \\ \vdots \\ f(x_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} f(x_{1m}) \\ \vdots \\ f(x_{nm}) \end{bmatrix} \end{cases} \subseteq R$$

$$\implies \exists g \colon \{x_1, \dots, x_k\} \to A \quad | \left\{ \begin{bmatrix} g(y_{11}) \\ \vdots \\ g(y_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} g(y_{1m'}) \\ \vdots \\ g(y_{nm'}) \end{bmatrix} \right\} \subseteq R$$

holds. In view of the existential quantifier in the above formula, a natural categorical context in which to extend this condition is the context of regular categories in the sense of [4]. In addition to the examples of matrix properties mentioned above, one has now also the example of n-permutable categories [8]. The exactness properties on a regular category being expressible by finite conjunctions of such matrix conditions have been semantically characterized in [23].

Given two such extended matrices M_1 and M_2 , a general algorithm to decide whether each regular category with M_1 -closed relations has M_2 -closed relations, denoted as $M_1 \Rightarrow_{\rm reg} M_2$, is yet to be found. However, using the embedding theorems from [18, [21]], the statement $M_1 \Rightarrow_{\rm reg} M_2$ is equivalent (assuming the *axiom of universes* [3]) to the statement, denoted by $M_1 \Rightarrow_{\rm reg\,ess\,alg} M_2$, that any regular essentially algebraic category (in the sense of [11, [2]) with M_1 -closed relations has M_2 -closed relations. Using this equivalence and the results from [29], we could prove that, when $M_2 = Mal$, the statement $M_1 \Rightarrow_{\rm reg} Mal$ is equivalent to the statement $M_1 \Rightarrow_{\rm alg} Mal$.

Our two main theorems, the first one stating the equivalence of $M_1 \Rightarrow_{\text{lex}}$ Mal and $M_1 \Rightarrow_{\text{alg}}$ Mal for a simple extended matrix M_1 and the second one stating the equivalence of $M_1 \Rightarrow_{\text{reg}}$ Mal and $M_1 \Rightarrow_{\text{alg}}$ Mal for a (general) extended matrix M_1 are quite surprising and particular to the Mal'tsev case. Indeed, as it is the general philosophy of the papers [18, [19, [20, [21], [22], [23], [24]], to prove the validity of many statements about exactness properties, one is often required to produce a proof in the essentially algebraic context (and not just in the varietal context as it is the case in the present situation). Actually, we prove these two theorems not only for the Mal'tsev matrix Mal, but for the matrix Cube_n for each $n \ge 2$, describing the Mal'tsev condition of having an *n*-cube term [5]. The Mal'tsev case is then recovered in the case n = 2.

Let us stress here the fact that our results are proved in the context of 'non-pointed' matrices, i.e., each entry in our matrices is a variable. This is in contrast with, e.g., [15] where entries can also be the constant symbol * representing the zero morphisms in a pointed category.

This paper is organized as follows. In Section 1, we recall the necessary material from other papers. In particular, we explain the theory of matrix conditions, in the finitely complete, regular and varietal contexts. We also recall the algorithm from [16] to decide for an implication $M_1 \Rightarrow_{\text{lex}} M_2$ in

the finitely complete context and conclude the section with a reminder on essentially algebraic theories. Section 2 contains the main new results of the paper and is divided in two parts. In the first one, we prove Theorem 2.4 which states that given a simple extended matrix M and an integer $n \ge 2$, the statement $M \Rightarrow_{\text{lex}} \text{Cube}_n$ is equivalent to $M \Rightarrow_{\text{alg}} \text{Cube}_n$. We also obtain an easy algorithm to decide when these conditions hold. From this algorithm, we deduce (Theorem 2.7) that a finite conjunction of conditions induced by simple extended matrices implies the Mal'tsev property if and only if one of these matrix conditions alone already implies the Mal'tsev property. The second part of Section 2 deals with (general) extended matrices and we prove that for such a matrix M and an integer $n \ge 2$, the statement $M \Rightarrow_{\text{reg}} \text{Cube}_n$ is equivalent to $M \Rightarrow_{\text{alg}} \text{Cube}_n$ (see Theorem 2.8).

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1. Preliminaries

By a *variety*, we mean a one-sorted finitary variety of universal algebras. By a *regular* category, we mean a regular category in the sense of [4], i.e., a finitely complete category with coequalizers of kernel pairs and pullback stable regular epimorphisms. Regular categories have been introduced as a context where finite limits and regular epimorphisms behave in a similar way as finite limits and surjections behave in the category of sets. In particular, every variety is a regular category. By a *pointed* category, we mean a category with a *zero object*, i.e., an object which is both terminal and initial. A variety is pointed if and only if its algebraic theory contains a unique constant term. Let us fix throughout this paper an infinite sequence x_1, x_2, x_3, \ldots of pairwise distinct variables.

Matrix conditions

Let us start by recalling the theory of matrix conditions on finitely complete and regular categories as introduced in [25], [26], [27]]. We only treat 'nonpointed' matrices in this paper, in contrast with [15]]. An *extended matrix* M of variables (or simply an *extended matrix* for short) is given by integer parameters $n \ge 1$, $m \ge 0$, $m' \ge 0$ and $k \ge \ell \ge 0$ and by a $n \times (m + m')$ matrix

$$\begin{bmatrix} x_{11} & \cdots & x_{1m} & y_{11} & \cdots & y_{1m'} \\ \vdots & & \vdots & \vdots & & \vdots \\ x_{n1} & \cdots & x_{nm} & y_{n1} & \cdots & y_{nm'} \end{bmatrix}$$
(1)

where the x_{ij} 's are (not necessarily distinct) variables from $\{x_1, \ldots, x_\ell\}$ and the y_{ij} 's are (not necessarily distinct) variables from $\{x_1, \ldots, x_\ell, \ldots, x_k\}$. When the parameters n, m, m', ℓ, k are clear from the context, we will omit them and we will represent an extended matrix M just by its matrix part; this will be the case when the conditions m + m' > 0,

$$\{x_{ij} \mid i \in \{1, \dots, n\}, j \in \{1, \dots, m\}\} = \{x_1, \dots, x_\ell\}$$

and

$$\{x_1, \dots, x_\ell\} \cup \{y_{ij} \mid i \in \{1, \dots, n\}, j \in \{1, \dots, m'\}\} = \{x_1, \dots, x_k\}$$

are all satisfied. The first m columns of M will be called its *left columns*, while its last m' columns will be called its *right columns*. Given an object Ain a finitely complete category \mathbb{C} , each variable x in $\{x_1, \ldots, x_\ell\}$ gives rise to the corresponding projection $x^A \colon A^\ell \to A$ from the ℓ -th power of A (and similarly, each variable x in $\{x_1, \ldots, x_k\}$ gives rise to the corresponding projection $x^A \colon A^k \to A$). Given such an extended matrix M, an *n*-ary internal relation $r \colon R \to A^n$ in a regular category \mathbb{C} is said to be M-closed if, when we consider the pullbacks



and



then h is a regular epimorphism (or, in other words, f factors through the image of $\pi_1 g$). We say that the regular category \mathbb{C} has M-closed relations if any internal n-ary relation $r: R \rightarrow A^n$ in \mathbb{C} is M-closed. If $\mathbb{C} = \mathbb{V}$ is a variety, an internal relation is a homomorphic relation. An n-ary homomorphic relation $R \subseteq A^n$ on an algebra A is M-closed when, for each function $f: \{x_1, \ldots, x_\ell\} \rightarrow A$ such that

$$\left\{ \begin{bmatrix} f(x_{11}) \\ \vdots \\ f(x_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} f(x_{1m}) \\ \vdots \\ f(x_{nm}) \end{bmatrix} \right\} \subseteq R,$$

there exists an extension $g: \{x_1, \ldots, x_k\} \to A$ of f (i.e., $g(x_i) = f(x_i)$ for each $i \in \{1, \ldots, \ell\}$) such that

$$\left\{ \begin{bmatrix} g(y_{11}) \\ \vdots \\ g(y_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} g(y_{1m'}) \\ \vdots \\ g(y_{nm'}) \end{bmatrix} \right\} \subseteq R.$$

This description can be used to prove the following theorem characterizing varieties with *M*-closed relations via a linear Mal'tsev condition.

Theorem 1.1 ([27]). Let M be an extended matrix as in (7). A variety \mathbb{V} has M-closed relations if and only if the algebraic theory of \mathbb{V} contains m-ary terms $p_1, \ldots, p_{m'}$ and ℓ -ary terms $q_1, \ldots, q_{k-\ell}$ such that, for each $i \in \{1, \ldots, n\}$ and each $j \in \{1, \ldots, m'\}$,

$$p_j(x_{i1},\ldots,x_{im}) = \begin{cases} x_a & \text{if } y_{ij} = x_a \in \{x_1,\ldots,x_\ell\} \\ q_{a-\ell}(x_1,\ldots,x_\ell) & \text{if } y_{ij} = x_a \in \{x_{\ell+1},\ldots,x_k\} \end{cases}$$

is a theorem of the algebraic theory of \mathbb{V} in the variables x_1, \ldots, x_ℓ .

For such a matrix M, we will denote by \mathbb{V}_M the variety whose basic operations are the *m*-ary terms $p_1, \ldots, p_{m'}$ and the ℓ -ary terms $q_1, \ldots, q_{k-\ell}$ and whose axioms are the theorems described in Theorem 1.1. Obviously, \mathbb{V}_M has *M*-closed relations.

Simple matrix conditions

An extended matrix M as above will be said to be *simple* when $k = \ell$ and m' = 1. We can display such a matrix M as

$$\begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}$$
(2)

where the x_{ij} 's and the y_i 's are variables from $\{x_1, \ldots, x_k\}$. In that case, the notion of *n*-ary *M*-closed relations can be extended to the finitely complete context as follows. An *n*-ary internal relation $r: R \rightarrow A^n$ in a finitely complete category \mathbb{C} is said to be *M*-closed when, given any object *B* and any function $f: \{x_1, \ldots, x_k\} \rightarrow \mathbb{C}(B, A)$ such that the induced morphism

$$\left[\begin{array}{c} f(x_{1j})\\ \vdots\\ f(x_{nj}) \end{array}\right]: B \to A^n$$

factor through r for each $j \in \{1, ..., m\}$, then so does the morphism

$$\left[\begin{array}{c} f(y_1)\\ \vdots\\ f(y_n) \end{array}\right]: B \to A^n.$$

For a simple extended matrix M, we say that the finitely complete category \mathbb{C} has *M*-closed relations when each internal *n*-ary relation $r: R \rightarrow A^n$ is *M*-closed. If $\mathbb{C} = \mathbb{V}$ is a variety, a homomorphic relation $R \subseteq A^n$ is *M*-closed when, for each function $f: \{x_1, \ldots, x_k\} \rightarrow A$, the implication

$$\left\{ \begin{bmatrix} f(x_{11}) \\ \vdots \\ f(x_{n1}) \end{bmatrix}, \dots, \begin{bmatrix} f(x_{1m}) \\ \vdots \\ f(x_{nm}) \end{bmatrix} \right\} \subseteq R \Longrightarrow \begin{bmatrix} f(y_1) \\ \vdots \\ f(y_n) \end{bmatrix} \in R$$

holds. Particularizing Theorem 1.1 to this simpler situation, one gets the following.

Theorem 1.2 ([25]). Let M be a simple extended matrix as in (2). A variety \mathbb{V} has M-closed relations if and only if the algebraic theory of \mathbb{V} contains an m-ary term p such that, for each $i \in \{1, ..., n\}$,

$$p(x_{i1},\ldots,x_{im})=y_i$$

is a theorem of the algebraic theory of \mathbb{V} in the variables x_1, \ldots, x_k .

Before describing some examples of matrix conditions, let us introduce some notation. We denote by lex (respectively by lex_{*}, reg, reg_{*}, alg and alg_{*}) the collection of finitely complete categories (respectively of finitely complete pointed categories, regular categories, regular pointed categories, varieties and pointed varieties). The notation lex abbreviates 'left exact categories' which is another name for finitely complete categories. Given two extended matrices M_1 and M_2 and a sub-collection C of reg (respectively, two simple extended matrices M_1 and M_2 and a sub-collection C of lex), we write $M_1 \Rightarrow_C M_2$ to mean that any category in C with M_1 -closed relations has M_2 -closed relations. We write $M_1 \Leftrightarrow_C M_2$ for the conjunction of the statements $M_1 \Rightarrow_C M_2$ and $M_2 \Rightarrow_C M_1$. We also write $M_1 \Rightarrow_C M_2$ for the negation of the statement $M_1 \Rightarrow_C M_2$.

Examples

Example 1.3. Let Mal be the simple extended matrix given by

$$\mathsf{Mal} = \left[\begin{array}{ccc|c} x_1 & x_2 & x_2 & x_1 \\ x_1 & x_1 & x_2 & x_2 \end{array} \right]$$

A finitely complete category has Mal-closed relations if and only if it is a Mal'tsev category as introduced in [10] (and in [9] in the regular context). A variety has Mal-closed relations if and only if its theory admits a Mal'tsev term, i.e., if and only if it is 2-permutable [28]. We refer the reader to [6, 7] for surveys on Mal'tsev categories.

Example 1.4. More generally, for any $r \ge 2$, let Perm_r be the extended matrix given by

$$\mathsf{Perm}_r = \left[\begin{array}{cccccc} x_1 & x_2 & x_2 \\ x_1 & x_1 & x_2 \end{array} \middle| \begin{array}{cccccc} x_1 & x_3 & x_4 & \cdots & x_r \\ x_3 & x_4 & \cdots & x_r & x_2 \end{array} \right].$$

A regular category has Perm_r -closed relations if and only if it is an r-permutable category as introduced in [8], generalizing the notion of an r-permutable variety.

Example 1.5. Let Ari be the simple extended matrix given by

$$\mathsf{Ari} = \left[\begin{array}{ccc|c} x_1 & x_2 & x_2 & x_1 \\ x_1 & x_1 & x_2 & x_2 \\ x_1 & x_2 & x_1 & x_1 \end{array} \right].$$

The notion of a finitely complete category with Ari-closed relations extends to the finitely complete context the notions of an arithmetical category in the sense of [30] and of an equivalence distributive Mal'tsev category in the sense of [12]. A variety \mathbb{V} has Ari-closed relations if and only if its theory admits a Pixley term [32].

Example 1.6. Let Maj be the simple extended matrix given by

$$\mathsf{Maj} = \left[\begin{array}{cccc} x_1 & x_1 & x_2 & x_1 \\ x_1 & x_2 & x_1 & x_1 \\ x_2 & x_1 & x_1 & x_1 \end{array} \right].$$

A finitely complete category has Maj-closed relations if and only if it is a majority category as introduced in [13, 14]. A variety \mathbb{V} is a majority category if and only if its theory admits a majority term [31].

Example 1.7. For any $n, k \ge 2$, let $\text{Cube}_{n,k}$ be the simple extended matrix with n rows, $k^n - 1$ left columns, one right column and $k = \ell$ variables defined by taking as left columns (ordered lexicographically) all possible n-tuples of elements of $\{x_1, \ldots, x_k\}$ except (x_1, \ldots, x_1) , which is used as right column. As we shall see below (see Corollary 2.3), for any $n, k_1, k_2 \ge 2$, one has $\text{Cube}_{n,k_1} \Leftrightarrow_{\text{lex}} \text{Cube}_{n,k_2}$. In view of this, we abbreviate $\text{Cube}_{n,2}$ by Cube_n . Up to permutation of left columns and change of variables, Cube_2 is the matrix Mal from Example 1.3, and therefore, $\text{Cube}_2 \Leftrightarrow_{\text{lex}} \text{Mal}$. The matrix Cube_3 is the matrix

For $n \ge 2$, a variety has Cube_n -closed relations if and only if its theory admits an *n*-cube term in the sense of [5].

Example 1.8. For any $n \ge 2$, let Edge_n be the simple extended matrix with n rows, n+1 left columns, one right column and $k = \ell = 2$ variables defined by

where the entries in positions (1, 1), (2, 1) and (i, i+1) for all $i \in \{1, \ldots, n\}$ are x_2 's and the other ones are x_1 's. Up to permutation of left columns and change of variables, Edge₂ is the matrix Mal, and thus Edge₂ \Leftrightarrow_{lex} Mal. For a general $n \ge 2$, a variety has Edge_n-closed relations if and only if its theory admits an *n*-edge term in the sense of [5]. Therefore, as it is shown in [5], one has Cube_n \Leftrightarrow_{alg} Edge_n. Using Proposition 1.7 of [26], we know that Edge_n \Rightarrow_{lex} Cube_n. However, for a general *n*, the converse is not true. For instance, from the computer-based results of [16], we can see that $Cube_3 \Rightarrow_{lex} Edge_3$. This is an additional example of the context dependency of the implications between matrix properties.

The algorithm in the finitely complete context

Given two extended matrices M_1 and M_2 , as far as we know, algorithms to decide whether $M_1 \Rightarrow_{\text{reg}} M_2$ or whether $M_1 \Rightarrow_{\text{alg}} M_2$ do not exist yet. On the contrary, in [16], an algorithm to decide whether $M_1 \Rightarrow_{\text{lex}} M_2$ for two simple extended matrices M_1 and M_2 has been developed. Since we will need it further, let us recall it here.

A simple extended matrix M is called *trivial* if any finitely complete category with M-closed relations is a *preorder* (i.e., a category with whose hom-sets contain at most one morphism). As examples, one can cite

$$\mathbf{T}_1 = \left[\begin{array}{cc} x_2 \mid x_1 \end{array} \right] \quad \text{and} \quad \mathbf{T}_2 = \left[\begin{array}{ccc} x_2 & x_1 \mid x_1 \\ x_1 & x_2 \mid x_1 \end{array} \right]$$

for which a finitely complete category has T_1 -closed relations if and only if it has T_2 -closed relations, which occurs if and only if it is a preorder. In addition, we have the example with zero left columns

$$\mathbf{T}_0 = \left[\begin{array}{c} \mid x_1 \end{array} \right]$$

for which a finitely complete category has T_0 -closed relations if and only if each hom-set contains exactly one morphism, i.e., if and only if it is equivalent to the terminal category.

In order to state the characterization of trivial matrices from [16], we need the following notation, using the presentation of M as in (2). Given $i \in \{1, \ldots, n\}$, R_{M_i} denotes the equivalence relation on the set $\{1, \ldots, m\}$ defined by $j_1 R_{M_i} j_2$ if and only if $x_{ij_1} = x_{ij_2}$. Given two equivalence relations R and S on the same set, $R \vee S$ denotes the smallest equivalence relation containing both R and S. Finally, we denote by Set^{op} the dual of the category Set of sets.

Theorem 1.9 ([16]). For a simple extended matrix M as in (2), the following conditions are equivalent:

(a) M is not a trivial matrix.

- (b) $\mathbf{Set}^{\mathsf{op}}$ has *M*-closed relations.
- (c) For all $i, i' \in \{1, ..., n\}$, there exist $j, j' \in \{1, ..., m\}$ such that $x_{ij} = y_i, x_{i'j'} = y_{i'}$ and $jR_{M_i} \vee R_{M_{i'}}j'$.

For instance, one can see that T_2 from above is indeed a trivial matrix by taking i = 1 and i' = 2. In that case, both R_{M_i} and $R_{M_{i'}}$ are the discrete equivalence relation on $\{1,2\}$ and there exist no $j, j' \in \{1,2\}$ such that $x_{1j} = x_1, x_{2j'} = x_1$ and $jR_{M_1} \vee R_{M_2}j'$ (i.e. j = j'). On the other hand, one can see that the matrix Mal from Example [1.3] is not trivial by case analysis on all $i, i' \in \{1, 2\}$. If i = 1 and i' = 2, one can take j = 1 and j' = 3 since $x_{11} = x_1 = y_1, x_{23} = x_2 = y_2$ and $1R_{M_2}2R_{M_1}3$. Symmetrically, if i = 2and i' = 1, one can take j = 3 and j' = 1; while if i = i', the condition simply means that the right entry of the *i*-th row can be found in the left entries of that row.

Let M_1 and M_2 be two simple extended matrices with parameters n_1 , $m_1, m'_1 = 1, k_1 = \ell_1$ and $n_2, m_2, m'_2 = 1, k_2 = \ell_2$. We know that if $m_1 = 0$, then M_1 is trivial, we always have $M_1 \Rightarrow_{\mathsf{lex}} M_2$ and we have $M_2 \Rightarrow_{\mathsf{lex}} M_1$ if and only if $m_2 = 0$. If $m_1 > 0$ and M_1 is trivial, then we have $M_1 \Rightarrow_{\mathsf{lex}} M_2$ if and only if $m_2 > 0$ and we have $M_2 \Rightarrow_{\mathsf{lex}} M_1$ if and only if M_2 is trivial. It thus remains to explain, in the case where neither M_1 nor M_2 is trivial, how to decide whether $M_1 \Rightarrow_{\mathsf{lex}} M_2$. In order to describe this algorithm, we need the following notion. Given $i \in \{1, \ldots, n_1\}$ and a set S, an *interpretation of type* S of the *i*-th row of M_1 is an $(m_1 + 1)$ -tuple

$$\begin{bmatrix} f(x_{i1}^1) & \dots & f(x_{im_1}^1) \mid f(y_i^1) \end{bmatrix}$$

formed by applying a function $f: \{x_1, \ldots, x_{k_1}\} \to S$ to the entries of the *i*-th row of M_1 . Now, if neither M_1 nor M_2 is a trivial matrix, the algorithm from [16] to decide whether $M_1 \Rightarrow_{\mathsf{lex}} M_2$ is the following:

Keep expanding the set of left columns of M_2 , until it is no more possible, with right columns of $n_2 \times (m_1 + 1)$ matrices

$$\begin{bmatrix} f_{i_1}(x_{i_11}^1) & \dots & f_{i_1}(x_{i_1m_1}^1) & f_{i_1}(y_{i_1}^1) \\ \vdots & & \vdots & & \vdots \\ f_{i_{n_2}}(x_{i_{n_2}1}^1) & \dots & f_{i_{n_2}}(x_{i_{n_2}m_1}^1) & f_{i_{n_2}}(y_{i_{n_2}}^1) \end{bmatrix}$$

for which each row is an interpretation of type $\{x_1, \ldots, x_{k_2}\}$ of a row of M_1 , each of the first m_1 left columns is in the expansion of the set of left columns of M_2 but the right column is not. Then $M_1 \Rightarrow_{\mathsf{lex}} M_2$ holds if and only if the right column of M_2 is contained in the left columns of the expanded matrix M_2 .

To illustrate this algorithm, let us consider the matrices Mal and Cube₃ of Examples 1.3 and 1.7. They can be shown to be non-trivial via Theorem 1.9. One can show that

$\int r$	m-	m-	r	\Rightarrow_{lex}	x_1	x_1	x_1	x_2	x_2	x_2	x_2	x_1
$\begin{vmatrix} x_1 \\ x_1 \end{vmatrix}$	$\frac{x_2}{x_1}$	$\frac{x_2}{x_2}$	$\begin{array}{c} x_1 \\ x_2 \end{array}$	\Rightarrow_{lex}	x_1	x_2	x_2	x_1	x_1	x_2	x_2	x_1
	x_1	x_2	$\begin{bmatrix} x_2 \end{bmatrix}$		x_2	x_1	x_2	x_1	x_2	x_1	x_2	x_1

i.e., that $Mal \Rightarrow_{lex} Cube_3$ in one step by considering the matrix

$$\left[\begin{array}{ccc|c} x_1 & x_2 & x_2 & x_1 \\ x_2 & x_2 & x_1 & x_1 \\ x_1 & x_1 & x_1 & x_1 \end{array}\right]$$

where the first row is the first row of Mal, the second row is an interpretation of the second row of Mal where x_1 is interpreted as x_2 and vice-versa and the third row is the first (or second) row of Mal where both variables are interpreted as x_1 . Since the left columns of that matrix are left columns of Cube₃ and its right column is the right column of Cube₃, this shows the announced implication.

As a two-step example, one can cite the implication

$$M_{1} = \begin{bmatrix} x_{1} & x_{1} & x_{2} & x_{2} & x_{1} \\ x_{1} & x_{2} & x_{1} & x_{2} & x_{1} \\ x_{2} & x_{3} & x_{3} & x_{1} & x_{1} \end{bmatrix} \Rightarrow_{\mathsf{lex}} M_{2} = \begin{bmatrix} x_{2} & x_{2} & x_{1} & x_{3} & x_{3} & x_{1} \\ x_{1} & x_{1} & x_{2} & x_{2} & x_{1} & x_{1} \\ x_{3} & x_{1} & x_{2} & x_{3} & x_{2} & x_{1} \end{bmatrix}$$

appearing in [16]. These matrices are non-trivial by Theorem [1.9]. To show the implication, one first considers the matrix

$$\left[\begin{array}{ccccccccccc} x_3 & x_3 & x_2 & x_2 & x_3 \\ x_2 & x_2 & x_1 & x_1 & x_2 \\ x_3 & x_3 & x_3 & x_1 & x_1 \end{array}\right]$$

where the first two rows are interpretations of the first row of M_1 (x_1 is interpreted respectively as x_3 in the first row and as x_2 in the second row, and x_2 is interpreted respectively as x_2 in the first row and as x_1 in the second row), and the third row is an interpretation of the third row of M_1 (x_1 is interpreted as x_1 and both x_2 and x_3 are interpreted as x_3). The left columns of that matrix are all left columns of M_2 , so that now, we can expand M_2 as

One now concludes the proof of the implication by considering the matrix

Γ	x_2	x_3	x_3	x_1	x_1
	x_1	x_1	x_2	x_2	x_1
	x_1	x_2	x_1	x_2	x_1

where the first row is the third row of M_1 , the second row is the first row of M_1 and the third row is the second row of M_1 . Notice that the left columns of that matrix are left columns of the expansion of M_2 above and the right column is the right column of M_2 .

Essentially algebraic categories

Let us conclude this preliminary section with a reminder on (many-sorted) essentially algebraic categories [1], 2] (or in other words locally presentable categories [11]) as we will need them to prove Theorem 2.8. They are described by *essentially algebraic theories*, i.e., quintuples

$$\Gamma = (S, \Sigma, E, \Sigma_t, \mathsf{Def})$$

where

- S is a set of sorts;
- Σ is an S-sorted signature of algebras, i.e., a set of operation symbols σ with prescribed arity σ: Π_{u∈U} s_u → s where U is a set, s_u ∈ S for each u ∈ U and s ∈ S;

- E is a set of Σ -equations;
- Σ_t is a subset of Σ called the set of *total operation symbols*;
- Def is a function assigning to each operation symbol σ: Π_{u∈U} s_u → s in Σ \ Σ_t a set Def(σ) of Σ_t-equations t₁ = t₂ where t₁ and t₂ are Σ_tterms Π_{u∈U} s_u → s'.

A Γ -model is an S-sorted set $A = (A_s)_{s \in S}$ together with, for each operation symbol $\sigma \colon \prod_{u \in U} s_u \to s$ in Σ , a partial function $\sigma^A \colon \prod_{u \in U} A_{s_u} \to A_s$ such that:

- 1. for each $\sigma \in \Sigma_t$, σ^A is totally defined;
- 2. given $\sigma: \prod_{u \in U} s_u \to s$ in $\Sigma \setminus \Sigma_t$ and a family $(a_u \in A_{s_u})_{u \in U}$ of elements, $\sigma^A((a_u)_{u \in U})$ is defined if and only if the identity

$$t_1^A((a_u)_{u \in U}) = t_2^A((a_u)_{u \in U})$$

holds for each Σ_t -equation of $\mathsf{Def}(\sigma)$;

3. A satisfies the equations of E wherever they are defined.

A Σ -term $t: \prod_{u \in U} s_u \to s$ will be said to be *everywhere-defined* if, for each Γ -model A, the induced function $t^A: \prod_{u \in U} A_{s_u} \to A_s$ is totally defined (see [18, [19]] for more details). A homomorphism $f: A \to B$ of Γ -models is an S-sorted function $(f_s: A_s \to B_s)_{s \in S}$ such that, given $\sigma: \prod_{u \in U} s_u \to s$ in Σ and a family $(a_u \in A_{s_u})_{u \in U}$ such that $\sigma^A((a_u)_{u \in U})$ is defined in A, then $\sigma^B((f_{s_u}(a_u))_{u \in U})$ is defined in B and the identity

$$f_s(\sigma^A((a_u)_{u \in U})) = \sigma^B((f_{s_u}(a_u))_{u \in U})$$

holds. The Γ -models and their homomorphisms form the category $Mod(\Gamma)$. A category which is equivalent to a category $Mod(\Gamma)$ for some essentially algebraic theory Γ is called *essentially algebraic*. These are exactly the locally presentable categories. Note that essentially algebraic categories are in general not regular but have a (strong epimorphism, monomorphism)factorization system. Each variety is a regular essentially algebraic category. The category **Cat** of small categories is a non-regular essentially algebraic category. We denote by ess alg (respectively ess alg_{*}, reg ess alg and reg ess alg_{*}) the collection of essentially algebraic categories (respectively of essentially algebraic pointed categories, regular essentially algebraic categories and of regular essentially algebraic pointed categories). Therefore, for extended matrices M_1 and M_2 , the notation $M_1 \Rightarrow_{\text{reg ess alg}} M_2$ means that any regular essentially algebraic category with M_1 -closed relations has M_2 -closed relations.

2. Main results

Results for simple matrices

We know from Theorem 4.2 in [15] that, given two simple extended matrices M_1 and M_2 where M_2 has at least one left column, then $M_1 \Rightarrow_{\mathsf{lex}} M_2$ holds if and only if $M_1 \Rightarrow_{\mathsf{lex}_*} M_2$ holds. Let us now prove the analogous result for varieties.

Proposition 2.1. Let M_1 and M_2 be two simple extended matrices such that M_2 has at least one left column. Then, the following statements are equivalent:

- (a) $M_1 \Rightarrow_{\mathsf{alg}} M_2$
- (b) $M_1 \Rightarrow_{\mathsf{alg}_*} M_2$

Proof. The implication (a) \Rightarrow (b) being trivial, let us prove (b) \Rightarrow (a). Let us denote the parameters of M_i (for $i \in \{1, 2\}$) by $n_i \ge 1$, $m_i \ge 0$, $m'_i = 1$ and $k_i = \ell_i \ge 1$. We recall that \mathbb{V}_{M_1} is the variety with one m_1 -ary basic operation p and the axioms are the identities described in Theorem [1.2] for M_1 . We also need the pointed variety $\mathbb{V}_{M_1}^*$ constructed from \mathbb{V}_{M_1} by adding one constant symbol 0 and the axiom $p(0, \ldots, 0) = 0$. Let us denote by Fr_{M_1} : Set $\to \mathbb{V}_{M_1}$ and $\operatorname{Fr}_{M_1}^*$: Set $\to \mathbb{V}_{M_1}^*$ the left adjoints to the respective forgetful functors. For some distinct variables $z_0, z_1, \ldots, z_{m_2}$, the \mathbb{V}_{M_1} -algebra $\operatorname{Fr}_{M_1}(z_0, z_1, \ldots, z_{m_2})$ can be considered as a $\mathbb{V}_{M_1}^*$ -algebra by considering z_0 as the constant 0 (one has $p(z_0, \ldots, z_0) = z_0$ since $n_1 \ge 1$ and there is thus at least one axiom as in Theorem [1.2]. Moreover, the $\mathbb{V}_{M_1}^*$ -algebra $\operatorname{Fr}_{M_1}(z_1, \ldots, z_{m_2})$ can be considered as a \mathbb{V}_{M_1} -algebra in the obvious way. Let

$$f: \operatorname{Fr}_{M_1}^*(z_1, \dots, z_{m_2}) \to \operatorname{Fr}_{M_1}(z_0, z_1, \dots, z_{m_2})$$

be the unique homomorphism of $\mathbb{V}_{M_1}^*$ -algebras such that $f(z_j) = z_j$ for each $j \in \{1, \ldots, m_2\}$. Let also

$$g: \operatorname{Fr}_{M_1}(z_0, z_1, \dots, z_{m_2}) \to \operatorname{Fr}_{M_1}(z_1, \dots, z_{m_2})$$

be the unique homomorphism of \mathbb{V}_{M_1} -algebras such that $g(z_0) = z_1$ and $g(z_j) = z_j$ for each $j \in \{1, \ldots, m_2\}$ (note that we need $m_2 > 0$ here).

Since $\mathbb{V}_{M_1}^*$ is a pointed variety with M_1 -closed relations, it has M_2 -closed relations assuming that $M_1 \Rightarrow_{\mathsf{alg}_*} M_2$. By Theorem 1.2, there exists an m_2 -ary term $q \in \operatorname{Fr}_{M_1}^*(z_1, \ldots, z_{m_2})$ satisfying the identities of Theorem 1.2 for M_2 . Let $q' = g(f(q)) \in \operatorname{Fr}_{M_1}(z_1, \ldots, z_{m_2})$. We would like to prove that this m_2 -ary term of \mathbb{V}_{M_1} also satisfies the identities of Theorem 1.2 for M_2 . Fixing a row i of M_2 , we consider the function $\iota: \{z_1, \ldots, z_{m_2}\} \rightarrow$ $\{x_1, \ldots, x_{k_2}\}$ given by $\iota(z_j) = x_{ij}^2$, where x_{ij}^2 is the corresponding entry of M_2 . We consider also the homomorphisms of $\mathbb{V}_{M_1}^*$ -algebras

$$\iota_1 \colon \operatorname{Fr}_{M_1}^*(z_1, \dots, z_{m_2}) \to \operatorname{Fr}_{M_1}^*(x_1, \dots, x_{k_2})$$

and

$$f': \operatorname{Fr}_{M_1}^*(x_1, \dots, x_{k_2}) \to \operatorname{Fr}_{M_1}(x_0, x_1, \dots, x_{k_2})$$

such that $\iota_1(z_j) = \iota(z_j)$ for each $j \in \{1, \ldots, m_2\}$ and $f'(x_u) = x_u$ for each $u \in \{1, \ldots, k_2\}$ (where $\operatorname{Fr}_{M_1}(x_0, x_1, \ldots, x_{k_2})$ is considered as a $\mathbb{V}_{M_1}^*$ algebra with x_0 as constant 0). Finally, we consider the homomorphisms of \mathbb{V}_{M_1} -algebras

$$\iota_{2} \colon \operatorname{Fr}_{M_{1}}(z_{0}, z_{1}, \dots, z_{m_{2}}) \to \operatorname{Fr}_{M_{1}}(x_{0}, x_{1}, \dots, x_{k_{2}}),$$
$$\iota_{3} \colon \operatorname{Fr}_{M_{1}}(z_{1}, \dots, z_{m_{2}}) \to \operatorname{Fr}_{M_{1}}(x_{1}, \dots, x_{k_{2}})$$

and

$$g'\colon \operatorname{Fr}_{M_1}(x_0, x_1, \dots, x_{k_2}) \to \operatorname{Fr}_{M_1}(x_1, \dots, x_{k_2})$$

such that $\iota_2(z_0) = x_0$, $\iota_2(z_j) = \iota(z_j)$ and $\iota_3(z_j) = \iota(z_j)$ for each $j \in \{1, \ldots, m_2\}$, $g'(x_0) = \iota(z_1)$ and $g'(x_u) = x_u$ for each $u \in \{1, \ldots, k_2\}$. Note that ι_2 is also a homomorphism of $\mathbb{V}^*_{M_1}$ -algebras. The left-hand square in

commutes in $\mathbb{V}_{M_1}^*$ (and thus in \mathbb{V}_{M_1}), while the right-hand square commutes in \mathbb{V}_{M_1} . Since q is sent by ι_1 to y_i^2 (i.e., the right entry of the *i*-th row of M_2), q' = g(f(q)) is sent by ι_3 to y_i^2 , proving that q' satisfies the equations of Theorem 1.2 for M_2 . Therefore, \mathbb{V}_{M_1} has M_2 -closed relations. Since any variety with M_1 -closed relations admits a forgetful functor to \mathbb{V}_{M_1} (Theorem 1.2), this shows $M_1 \Rightarrow_{\mathsf{alg}} M_2$.

Let us make explicit that, as in the finitely complete context, the above theorem cannot be generalized to the situation where M_2 has no left columns. A counter-example is given by the implication $T_1 \Rightarrow_{alg_*} T_0$ which holds whereas the implication $T_1 \Rightarrow_{alg} T_0$ does not. A variety has T_1 -closed relations if and only if the identity x = y holds (i.e., each algebra is either empty or a singleton); while a variety has T_0 -closed relations if and only if there is a constant symbol 0 such that the identity 0 = y holds (so each algebra is a singleton). In the pointed varietal context, both properties are equivalent to the identity 0 = y for the unique constant 0.

Let us now turn our attention to the case where $M_2 = \text{Cube}_{n,k}$ is the matrix from Example 1.7. In that case, the algorithm for deciding $M_1 \Rightarrow_{\text{lex}} M_2$ can be nicely simplified.

Proposition 2.2. Let M be a simple extended matrix (with parameters $n \ge 1$, $m \ge 0$, m' = 1 and $k = \ell \ge 1$)

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}$$

and let $n', k' \ge 2$ be integers. The implication $M \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n',k'}$ holds if and only if there exist $i_1, \ldots, i_{n'} \in \{1, \ldots, n\}$ such that there does not exist $j \in \{1, \ldots, m\}$ for which $x_{i_a j} = y_{i_a}$ for each $a \in \{1, \ldots, n'\}$.

Proof. Firstly, let us notice that Theorem 1.9 implies that $\text{Cube}_{n',k'}$ is not a trivial matrix. Let us now assume that M is trivial. In that case, we have $M \Rightarrow_{\text{lex}} \text{Cube}_{n',k'}$. By Theorem 1.9, there must exist $i, i' \in \{1, \ldots, n\}$ such that for all $j, j' \in \{1, \ldots, m\}$, if $x_{ij} = y_i$ and $x_{i'j'} = y_{i'}$ then j is not related to j' by $R_{M_i} \lor R_{M_{i'}}$. If the left part of the *i*-th row of M does not contain y_i as an entry, the second condition is satisfied with $i_1 = \cdots = i_{n'} = i$. Similarly, if the left part of the *i'*-th row of M does not contain $y_{i'}$ as an entry, the

second condition is satisfied with $i_1 = \cdots = i_{n'} = i'$. Otherwise, there exist $j, j' \in \{1, \ldots, m\}$ such that $x_{ij} = y_i$ and $x_{i'j'} = y_{i'}$ and thus j is not related to j' by $R_{M_i} \vee R_{M_{i'}}$. Since $n' \ge 2$, the second condition in the statement is then satisfied with $i_1 = i$ and $i_2 = \cdots = i_{n'} = i'$. Indeed, if there exists $j'' \in \{1, \ldots, m\}$ such that $x_{ij''} = y_i$ and $x_{i'j''} = y_{i'}$, then $x_{ij} = x_{ij''}$ and $x_{i'j''} = x_{i'j''}$, which would imply $jR_{M_i}j''R_{M_{i'}}j'$, a contradiction.

We can thus suppose without loss of generality that M is not trivial. In the algorithm to decide whether $M \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n',k'}$, there is only one column that could be added to $\mathsf{Cube}_{n',k'}$, i.e., the column of x_1 's. This column can indeed be added if and only if we can find $i_1, \ldots, i_{n'} \in \{1, \ldots, n\}$ and functions $f_{i_1}, \ldots, f_{i_{n'}} \colon \{x_1, \ldots, x_k\} \to \{x_1, \ldots, x_{k'}\}$ such that the left columns of the matrix

$$\begin{bmatrix} f_{i_1}(x_{i_11}) & \dots & f_{i_1}(x_{i_1m}) & f_{i_1}(y_{i_1}) \\ \vdots & & \vdots & \\ f_{i_{n'}}(x_{i_{n'1}}) & \dots & f_{i_{n'}}(x_{i_{n'm}}) & f_{i_{n'}}(y_{i_{n'}}) \end{bmatrix}$$

are different from the n'-tuple (x_1, \ldots, x_1) , but the right column is equal to it. This condition clearly implies the one in the statement. Conversely, from the condition in the statement, one can construct such a matrix by considering, for each $a \in \{1, \ldots, n'\}$, the function $f_{i_a} \colon \{x_1, \ldots, x_k\} \to \{x_1, \ldots, x_{k'}\}$ which sends y_{i_a} to x_1 and the other elements of the domain to x_2 (using the fact that $k' \ge 2$).

Since the above condition characterizing $M \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n',k'}$ does not depend on k', one immediately has the following corollary.

Corollary 2.3. For $n, k_1, k_2 \ge 2$, one has $\mathsf{Cube}_{n,k_1} \Leftrightarrow_{\mathsf{lex}} \mathsf{Cube}_{n,k_2}$.

As mentioned in Example 1.7, this corollary is the reason why we abbreviate $\text{Cube}_{n,2}$ as Cube_n since then, $\text{Cube}_n \Leftrightarrow_{\text{lex}} \text{Cube}_{n,k}$ for any $k \ge 2$.

Putting together Propositions 2.1 and 2.2 and the results of [29], we can easily prove the following theorem. We recall that for an extended matrix M, we have defined after Theorem 1.1 the variety \mathbb{V}_M as the 'generic' one with M-closed relations. If M is simple, \mathbb{V}_M is thus obtained with a single basic operation and one axiom for each row of M as described in Theorem 1.2. **Theorem 2.4.** Let M be a simple extended matrix (with parameters $n \ge 1$, $m \ge 0$, m' = 1 and $k = \ell \ge 1$)

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}$$

and let $n' \ge 2$ be an integer. The following statements are equivalent:

- (a) $M \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n'}$
- (b) $M \Rightarrow_{\mathsf{lex}_*} \mathsf{Cube}_{n'}$
- (c) $M \Rightarrow_{\mathsf{reg}} \mathsf{Cube}_{n'}$
- (d) $M \Rightarrow_{\mathsf{reg}_*} \mathsf{Cube}_{n'}$
- (e) $M \Rightarrow_{\mathsf{alg}} \mathsf{Cube}_{n'}$
- (f) $M \Rightarrow_{\mathsf{alg}_*} \mathsf{Cube}_{n'}$
- (g) $M \Rightarrow_{\mathsf{ess alg}} \mathsf{Cube}_{n'}$
- (h) $M \Rightarrow_{\mathsf{ess alg}_*} \mathsf{Cube}_{n'}$
- (i) $M \Rightarrow_{\mathsf{reg ess alg}} \mathsf{Cube}_{n'}$
- (j) $M \Rightarrow_{\mathsf{reg\,ess\,alg}_*} \mathsf{Cube}_{n'}$
- (k) There exist $i_1, \ldots, i_{n'} \in \{1, \ldots, n\}$ such that there does not exist $j \in \{1, \ldots, m\}$ for which $x_{i_a j} = y_{i_a}$ for each $a \in \{1, \ldots, n'\}$.
- (1) There does not exist a function $p: \{0,1\}^m \to \{0,1\}$ making $(\{0,1\},p)$ an algebra of \mathbb{V}_M such that its induced n'-power $(\{0,1\}^{n'},p^{n'})$ is compatible with the n'-ary relation $R_{n'} = \{0,1\}^{n'} \setminus \{(0,\ldots,0)\}$ (i.e., $p^{n'}(r_1,\ldots,r_m) \in R_{n'}$ for each $r_1,\ldots,r_m \in R_{n'}$).

Proof. The equivalence (c) \Leftrightarrow (1) is an immediate application of Lemma 3.5 and Proposition 7.7 of [29] applied to the variety \mathbb{V}_M . The equivalence (a) \Leftrightarrow (k) is Proposition 2.2 with k' = 2. It is trivial that (a) implies all

the statements (b) (j) and any of these statements implies (f). The equivalence (e) (f) is an immediate application of Proposition 2.1. It thus suffices to show the implication (1) (k). By contradiction, let us suppose (k) does not hold and let us prove (1) does not hold neither. We define $p: \{0,1\}^m \to \{0,1\}$ on an *m*-tuple (b_1,\ldots,b_m) by $p(b_1,\ldots,b_m) = 0$ if and only if there exist $i \in \{1,\ldots,n\}$ and a function $f: \{x_1,\ldots,x_k\} \to \{0,1\}$ such that $f(y_i) = 0$ and $(b_1,\ldots,b_m) = (f(x_{i1}),\ldots,f(x_{im}))$. To prove that $(\{0,1\},p)$ indeed forms an algebra of \mathbb{V}_M , we need to show that for any $i \in \{1,\ldots,n\}$ and any function $f: \{x_1,\ldots,x_k\} \to \{0,1\}$, one has

$$p(f(x_{i1}),\ldots,f(x_{im})) = f(y_i).$$

If $f(y_i) = 0$, this is immediate from the definition of p. If $f(y_i) = 1$, we need to show there do not exist $i' \in \{1, \ldots, n\}$ and $g: \{x_1, \ldots, x_k\} \to \{0, 1\}$ such that $g(y_{i'}) = 0$ and $(f(x_{i1}), \ldots, f(x_{im})) = (g(x_{i'1}), \ldots, g(x_{i'm}))$. But if this was the case, using that $n' \ge 2$ and that (k) is false with $i_1 = i$ and $i_2 = \cdots = i_{n'} = i'$, we obtain a $j \in \{1, \ldots, m\}$ such that $x_{ij} = y_i$ and $x_{i'j} = y_{i'}$, contradicting $f(x_{ij}) = g(x_{i'j})$. It remains to prove that $p^{n'}$ is compatible with $R_{n'}$. The only way for it not to be so is that there exist $i_1, \ldots, i_{n'} \in \{1, \ldots, n\}$ and functions $f_1, \ldots, f_{n'}: \{x_1, \ldots, x_k\} \to \{0, 1\}$ such that $f_a(y_{i_a}) = 0$ for each $a \in \{1, \ldots, n'\}$ and such that the matrix

$$\begin{bmatrix} f_1(x_{i_11}) & \cdots & f_1(x_{i_1m}) \\ \vdots & & \vdots \\ f_{n'}(x_{i_{n'}1}) & \cdots & f_{n'}(x_{i_{n'}m}) \end{bmatrix}$$

does not contain a column of 0's. But this is impossible by our assumption that (k) is false.

The situation described by Theorem 2.4 is very particular to the matrices $\text{Cube}_{n'}$. Indeed, as we have already remarked in Example 1.8, one has $\text{Cube}_3 \Rightarrow_{\text{alg}} \text{Edge}_3$ but $\text{Cube}_3 \Rightarrow_{\text{lex}} \text{Edge}_3$. Other examples of this phenomenon are given in [16].

Since it is our most interesting case, let us specify some of the statements of Theorem 2.4 in the case where n' = 2, i.e., when $Cube_{n'} = Cube_2$ describes the Mal'tsev property. **Corollary 2.5.** Let M be a simple extended matrix (with parameters $n \ge 1$, $m \ge 0$, m' = 1 and $k = \ell \ge 1$)

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}.$$

The following statements are equivalent:

- (a) Each finitely complete category with *M*-closed relations is a Mal'tsev category.
- (b) Each variety with M-closed relations is a Mal'tsev variety.
- (c) There exist $i, i' \in \{1, ..., n\}$ such that there is no $j \in \{1, ..., m\}$ for which $x_{ij} = y_i$ and $x_{i'j} = y_{i'}$.

Remark 2.6. It is shown in [17] that if M is a simple extended matrix as in Corollary 2.5 with k = 2, then the equivalent conditions in that corollary are further equivalent to:

(d) There is a finitely complete majority category which does not have M-closed relations.

In other words, if k = 2, $M \Rightarrow_{\mathsf{lex}} \mathsf{Mal}$ if and only if $\mathsf{Maj} \Rightarrow_{\mathsf{lex}} M$. It is also shown that if k > 2, this equivalence is no longer true.

Statement (k) of Theorem 2.4 provides an algorithm to decide whether $M \Rightarrow_{\mathsf{alg}} \mathsf{Cube}_{n'}$ (or equivalently $M \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n'}$). It is easy to see that this algorithm requires at most $m \times n^{n'}$ comparisons of columns, and thus at most $n' \times m \times n^{n'}$ comparisons of elements. For a fixed n', this is thus a polynomial-time algorithm in the parameters n and m of the input matrix M. For n' = 2, statement (c) of Corollary 2.5 thus provides an algorithm to decide whether $M \Rightarrow_{\mathsf{alg}} \mathsf{Mal}$ (or equivalently $M \Rightarrow_{\mathsf{lex}} \mathsf{Mal}$) which requires at most $2mn^2$ comparisons of elements.

To illustrate Theorem 2.4 and Corollary 2.5 we can see that Maj \Rightarrow_{lex} Cube₃ and Maj \Rightarrow_{alg} Cube₃ by taking $i_1 = 1$, $i_2 = 2$ and $i_3 = 3$ in statement (k) of Theorem 2.4 since the right column

x_1	
x_1	
x_1	

of Maj as presented in Example 1.6 does not appear as one of its left columns. Besides, one has Maj \Rightarrow_{lex} Mal and Maj \Rightarrow_{alg} Mal since, given any two rows of Maj, they contain

 $\left[\begin{array}{c} x_1 \\ x_1 \end{array}\right]$

in their left part.

Theorem 3.6 in [16] states that the intersection of finitely many matrix properties induced by simple extended matrices is again a matrix property induced by a simple extended matrix. Given, for each $i \in \{1, 2\}$, such a matrix M_i with parameters n_i , m_i , $m'_i = 1$ and $k_i = \ell_i$, we can form a matrix M with parameters $n = n_1 + n_2$, $m = m_1 \times m_2$, m' = 1 and $k = \ell = \max(k_1, k_2)$ as follows: the left columns of M are indexed by the pairs consisting of a left column of M_1 and a left column of M_2 and are obtained by superposing this column of M_1 over this column of M_2 . The right column of M is obtained by superposing the right column of M_1 over the right column of M_2 . Then, a finitely complete category has M-closed relations if and only if it has M_1 -closed relations and M_2 -closed relations. For instance, if $M_1 = Mal$ as in Example 1.3 and if $M_2 = Maj$ as in Example 1.6, one has

$$M = \begin{bmatrix} x_1 & x_1 & x_1 & x_2 & x_2 & x_2 & x_2 & x_2 & x_1 \\ x_1 & x_1 & x_1 & x_1 & x_1 & x_1 & x_2 & x_2 & x_2 & x_2 \\ x_1 & x_1 & x_2 & x_1 & x_1 & x_2 & x_1 & x_1 & x_2 & x_1 \\ x_1 & x_2 & x_1 & x_1 & x_2 & x_1 & x_1 & x_2 & x_1 & x_1 \\ x_2 & x_1 & x_1 & x_2 & x_1 & x_1 & x_2 & x_1 & x_1 \end{bmatrix}$$

which turns out to satisfy $M \Leftrightarrow_{\mathsf{lex}} \mathsf{Ari}$.

Theorem 2.7. Let $d \ge 0$ be an integer and $(M_i)_{i \in \{1,...,d\}}$ be a finite family of simple extended matrices. For $n' \ge 2$, the following statements are equivalent:

- (a) Each finitely complete category with M_i -closed relations for all $i \in \{1, \ldots, d\}$ has $\mathsf{Cube}_{n'}$ -closed relations.
- (b) There exists $i \in \{1, \ldots, d\}$ such that $M_i \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n'}$.

Proof. The statement being trivial for d = 0 and d = 1, let us assume without loss of generality that $d \ge 2$. Furthermore, since the intersection of

finitely many matrix properties induced by simple extended matrices is again a matrix property induced by a simple extended matrix, using induction, we can assume without loss generality that d = 2. The implication (b) \Rightarrow (a) being trivial, we assume (a) and we shall prove (b). Let M be the simple extended matrix as constructed above from M_1 and M_2 . We shall use the same notation as above for the parameters of M_1 , M_2 and M. Moreover, for $i \in \{1, 2\}$, we denote the entries of M_i as

$$M_{i} = \begin{bmatrix} x_{11}^{i} & \cdots & x_{1m_{i}}^{i} & y_{1}^{i} \\ \vdots & & \vdots & \vdots \\ x_{n_{i}1}^{i} & \cdots & x_{n_{i}m_{i}}^{i} & y_{n_{i}}^{i} \end{bmatrix}$$

and we denote the entries of M as

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_1 \\ \vdots & & \vdots & \vdots \\ x_{n1} & \cdots & x_{nm} & y_n \end{bmatrix}.$$

We thus assume that $M \Rightarrow_{\text{lex}} \text{Cube}_{n'}$ and we shall prove that either $M_1 \Rightarrow_{\text{lex}} \text{Cube}_{n'}$ or $M_2 \Rightarrow_{\text{lex}} \text{Cube}_{n'}$. By Theorem 2.4, we know that there exist $i_1, \ldots, i_{n'} \in \{1, \ldots, n_1 + n_2\}$ such that there does not exist $j \in \{1, \ldots, m_1 \times m_2\}$ for which $x_{i_a j} = y_{i_a}$ for each $a \in \{1, \ldots, n'\}$. Let us denote by S_1 the set $S_1 = \{a \in \{1, \ldots, n'\} \mid i_a \in \{1, \ldots, n_1\}\}$ and by S_2 the set $S_2 = \{1, \ldots, n'\} \setminus S_1$. If there exist $j_1 \in \{1, \ldots, m_1\}$ and $j_2 \in \{1, \ldots, m_2\}$ such that $x_{i_a j_1}^1 = y_{i_a}^1$ for each $a \in S_1$ and $x_{(i_a - n_1)j_2}^2 = y_{i_a - n_1}^2$ for each $a \in S_2$, by choosing $j \in \{1, \ldots, m_1 \times m_2\}$ as the index of the left column of M obtained by superposing the j_1 -th left column of M_1 over the j_2 -th left column of M_2 , one obtains that $x_{i_a j} = y_{i_a}$ for each $a \in \{1, \ldots, m_1\}$ for which $x_{i_a j_1}^1 = y_{i_a}^1$ for each $a \in \{1, \ldots, m_1\}$ for which $x_{i_a j_1}^1 = y_{i_a}^1$ for each $a \in \{1, \ldots, m_1\}$ for which $x_{i_a j_1}^1 = y_{i_a}^1$ for each $a \in \{1, \ldots, m_1\}$ for which $x_{i_a j_1}^1 = y_{i_a}^1$ for each $a \in \{1, \ldots, m_1\}$ for each $a \in \{1, \ldots, m'\}$ by

$$i'_a = \begin{cases} i_a & \text{if } a \in S_1 \\ 1 & \text{if } a \in S_2. \end{cases}$$

The indices $i'_1, \ldots, i'_{n'} \in \{1, \ldots, n_1\}$ satisfy condition (k) of Theorem 2.4 and therefore one has $M_1 \Rightarrow_{\mathsf{lex}} \mathsf{Cube}_{n'}$.

Results for general matrices

We now tackle the question to describe when $M \Rightarrow_{reg} Cube_n$ for a (not necessarily simple) extended matrix M. In general, we do not yet know an algorithm to decide whether a general matrix condition implies another one. In the following theorem, we thus have to use another technique than the one used to prove Theorem 2.4. We will use here, in addition to the results of [29], the embedding theorems of [21]. In order to do so, we will need the axiom of universes [3], which will only be used to prove the implication (b) \Rightarrow (a) (the equivalences (b) \Leftrightarrow (c) \Leftrightarrow (d) do not rely on the axiom of universes).

Theorem 2.8. Let M be an extended matrix (with parameters $n \ge 1$, $m \ge 0$, $m' \ge 0$ and $k \ge \ell \ge 0$)

$$M = \begin{bmatrix} x_{11} & \cdots & x_{1m} & y_{11} & \cdots & y_{1m'} \\ \vdots & & \vdots & & \vdots \\ x_{n1} & \cdots & x_{nm} & y_{n1} & \cdots & y_{nm'} \end{bmatrix}$$

and let $n' \ge 2$ be an integer. The following statements are equivalent:

- (a) $M \Rightarrow_{\mathsf{reg}} \mathsf{Cube}_{n'}$
- (b) $M \Rightarrow_{\mathsf{reg ess alg}} \mathsf{Cube}_{n'}$
- (c) $M \Rightarrow_{\mathsf{alg}} \mathsf{Cube}_{n'}$
- (d) There do not exist functions

$$p_1, \ldots, p_{m'} \colon \{0, 1\}^m \to \{0, 1\}$$

and

$$q_1, \ldots, q_{k-\ell} \colon \{0, 1\}^{\ell} \to \{0, 1\}$$

making $A = (\{0, 1\}, p_1, \ldots, p_{m'}, q_1, \ldots, q_{k-\ell})$ an algebra of \mathbb{V}_M such that the n'-ary relation $R_{n'} = \{0, 1\}^{n'} \setminus \{(0, \ldots, 0)\}$ is a homomorphic relation on A.

Proof. The equivalence $(C) \Leftrightarrow (d)$ is an immediate application of Lemma 3.5 and Proposition 7.7 of [29] applied to the variety \mathbb{V}_M . Under the axiom of universes, the implication $(b) \Rightarrow (a)$ follows immediately from Theorem 4.6 of [21]. The implications $(a) \Rightarrow (b) \Rightarrow (c)$ being trivial, it remains to prove $(d) \Rightarrow (b)$. Let us thus assume that (d) holds and let us consider an essentially algebraic theory $\Gamma = (S, \Sigma, E, \Sigma_t, \text{Def})$ such that $Mod(\Gamma)$ is a regular category with *M*-closed relations. We shall prove that it has $Cube_{n'}$ -closed relations. By Theorem 3.3 in [21], we know that, for each sort $s \in S$, there exist in Γ :

- for each $j \in \{1, \ldots, m'\}$, a term $p_j^s : s^m \to s$,
- for each $a \in \{1, \ldots, k \ell\}$, a term $q_a^s \colon s^\ell \to s$

such that, for each $i \in \{1, \ldots, n\}$ and each $j \in \{1, \ldots, m'\}$,

- if y_{ij} = x_a ∈ {x₁,..., x_ℓ}, the term p^s_j(x_{i1},..., x_{im}): s^ℓ → s (in the variables x₁,..., x_ℓ) is everywhere-defined and equal to x_a,
- if y_{ij} = x_a ∈ {x_{ℓ+1},...,x_k}, the term p^s_j(x_{i1},...,x_{im}): s^ℓ → s (in the variables x₁,...,x_ℓ) is defined for an ℓ-tuple (b₁,...,b_ℓ) ∈ B^ℓ_s in a Γ-model B if and only if q^s_{a-ℓ}(b₁,...,b_ℓ) is defined, and in that case they are equal.

Let now T be a homomorphic n'-ary relation on the Γ -model B. Let $s \in S$ and let $b_0, b_1 \in B_s$ be such that any n'-tuple of elements of $\{b_0, b_1\}$, except maybe (b_0, \ldots, b_0) , is in T_s . We shall prove that $(b_0, \ldots, b_0) \in T_s$. For each $j \in \{1, \ldots, m'\}$, let us define the function $p_j \colon \{0, 1\}^m \to \{0, 1\}$ on $(c_1, \ldots, c_m) \in \{0, 1\}^m$ by $p_j(c_1, \ldots, c_m) = 0$ if $p_j^s(b_{c_1}, \ldots, b_{c_m})$ is defined and equal to b_0 , otherwise we set $p_j(c_1, \ldots, c_m) = 1$. Similarly, for each $a \in \{1, \ldots, k - \ell\}$, let us define the function $q_a \colon \{0, 1\}^\ell \to \{0, 1\}$ on $(c_1, \ldots, c_\ell) \in \{0, 1\}^\ell$ by $q_a(c_1, \ldots, c_\ell) = 0$ if $q_a^s(b_{c_1}, \ldots, b_{c_\ell})$ is defined and equal to b_0 , otherwise we set $q_a(c_1, \ldots, c_\ell) = 1$. It is easy to see that $A = (\{0, 1\}, p_1, \ldots, p_{m'}, q_1, \ldots, q_{k-\ell})$ forms an algebra of \mathbb{V}_M . Let us consider the bijection $f \colon \{0, 1\} \to \{b_0, b_1\}$ defined by $f(0) = b_0$ and $f(1) = b_1$ and the induced bijection $f^{n'} \colon \{0, 1\}^{n'} \to \{b_0, b_1\}^{n'}$. Since (d) holds, either there exist $j \in \{1, \ldots, m'\}$ and elements $r_1, \ldots, r_m \in R_{n'}$ such that $p_i^{n'}(r_1, \ldots, r_m) = (0, \ldots, 0)$ or there exist $a \in \{1, \ldots, k - \ell\}$ and elements $\begin{array}{l} r_1,\ldots,r_\ell\in R_{n'} \text{ such that } q_a^{n'}(r_1,\ldots,r_\ell)=(0,\ldots,0) \text{ (where } p_j^{n'} \text{ and } q_a^{n'} \text{ are the operations induced on } \{0,1\}^{n'} \text{ by } p_j \text{ and } q_a \text{ respectively}). \text{ We thus have either that } (p_j^s)^{n'}(f^{n'}(r_1),\ldots,f^{n'}(r_m)) \text{ is defined and equal to } (b_0,\ldots,b_0), \text{ or that } (q_a^s)^{n'}(f^{n'}(r_1),\ldots,f^{n'}(r_\ell)) \text{ is defined and equal to } (b_0,\ldots,b_0). \text{ Since } T \text{ is a homomorphic relation on } B \text{ and either } f^{n'}(r_1),\ldots,f^{n'}(r_m)\in T_s \text{ or } f^{n'}(r_1),\ldots,f^{n'}(r_\ell)\in T_s, \text{ we can conclude in both cases that } (b_0,\ldots,b_0)\in T_s. \end{array}$

Let us notice that condition (d) provides a (finite-time) algorithm to decide whether $M \Rightarrow_{\mathsf{reg}} \mathsf{Cube}_{n'}$ (or equivalently $M \Rightarrow_{\mathsf{alg}} \mathsf{Cube}_{n'}$), but it seems this is not a polynomial-time algorithm.

Again, since this is our most interesting case, let us emphasize the case n' = 2 of Theorem 2.8.

Corollary 2.9. For an extended matrix M, the following statements are equivalent:

- (a) Every regular category with M-closed relations is a Mal'tsev category.
- (b) Every variety with M-closed relations is a Mal'tsev variety.

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